



# Exploiting Tree-Decomposition in Search: The AND/OR Paradigm

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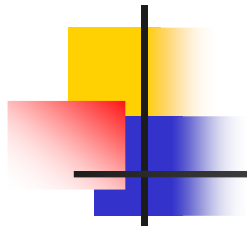
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Radu Marinescu

Robert Mateescu

Vibhav Gogate



# Outline

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- Background: Search and inference in Graphical models
- AND/OR search **trees**
  - **Pseudo spanning trees, dfs trees**
- AND/OR search **graphs**
  - Tree-width and path-width bounds
- Relationship to algorithmic and compilation schemes.

# Constraint networks

A *constraint network* is a triple

$R = \langle X, D, C \rangle$  where :

$X = \{X_1, \dots, X_n\}$  is a set of variables

$D = \{D_1, \dots, D_n\}$  is the set of their domains

$C = \{C_1, \dots, C_t\}$ ,  $C_i = (S_i, R_i)$  are the constraints,  
 $S_i$  being the *scope* of the *relation*  $R_i$ .

The **main task**:

- Determine if the problem has a solution (an assignment that satisfies all the relations);
- If yes, find one or all of them.

$R_2$

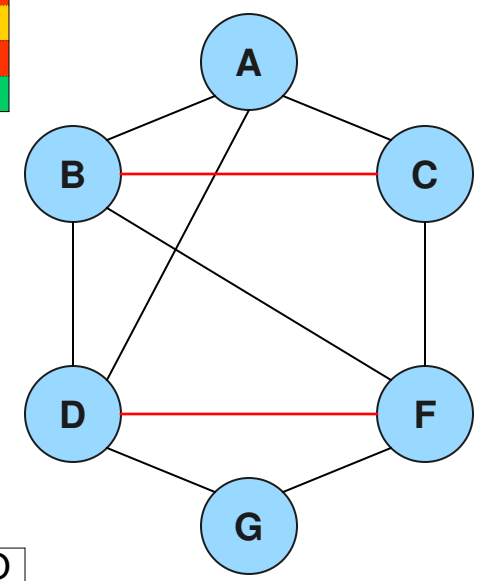
A	B
1	2
1	3
2	1
2	3
3	1
3	2

$R_1$

A
1
2
3

$R_3$

A	C
1	2
3	2



$R_4$

A	B	D
1	2	3
1	3	2
2	1	3
2	3	1
3	1	2
3	2	1

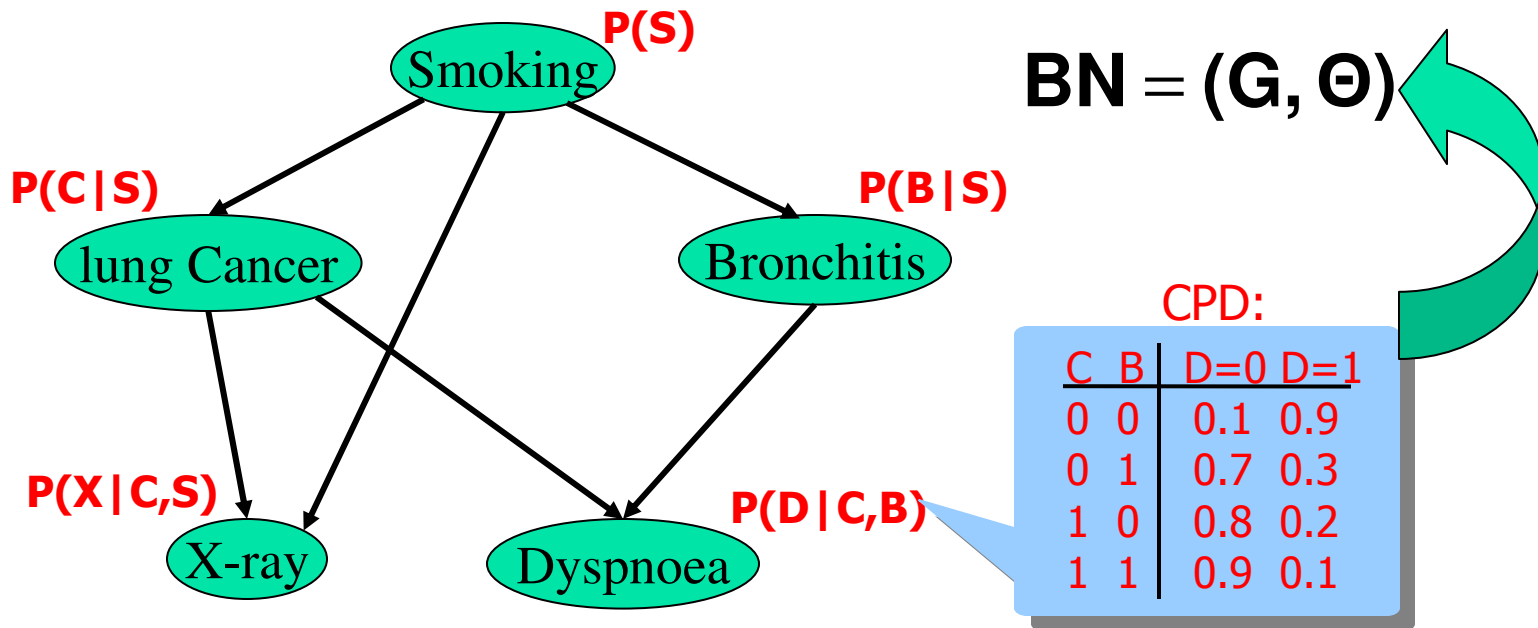
$R_5$

B	C	F
1	2	3
3	2	1

$R_6$

D	F	G
1	2	3
2	1	3

# Probabilistic Networks



$$P(S, C, B, X, D) = P(S) P(C|S) P(B|S) P(X|C,S) P(D|C,B)$$

$P(S|d) = ?$



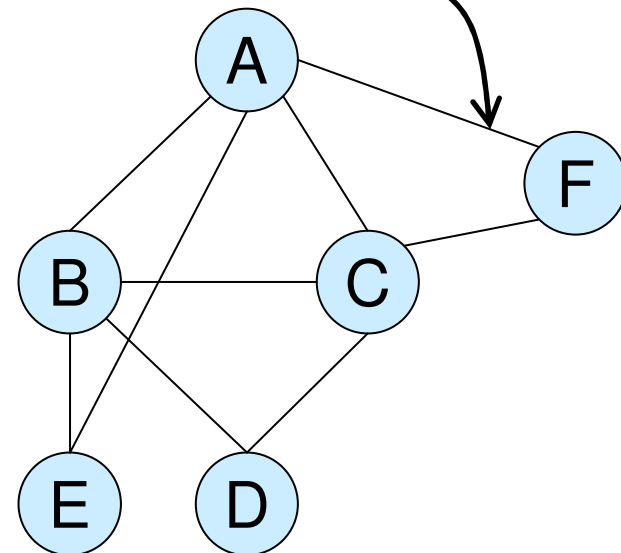
MPE:  $\text{argmax} P(S) P(C|S) P(B|S) P(X|C,S) P(D|C,B)$

# Graphical models

- A graphical model  $(X, D, C)$ :
  - $X = \{X_1, \dots, X_n\}$  variables
  - $D = \{D_1, \dots, D_n\}$  domains
  - $C = \{F_1, \dots, F_t\}$  functions  
(constraints, CPTS, cnfs)

$$F_i := P(F \mid A, C)$$

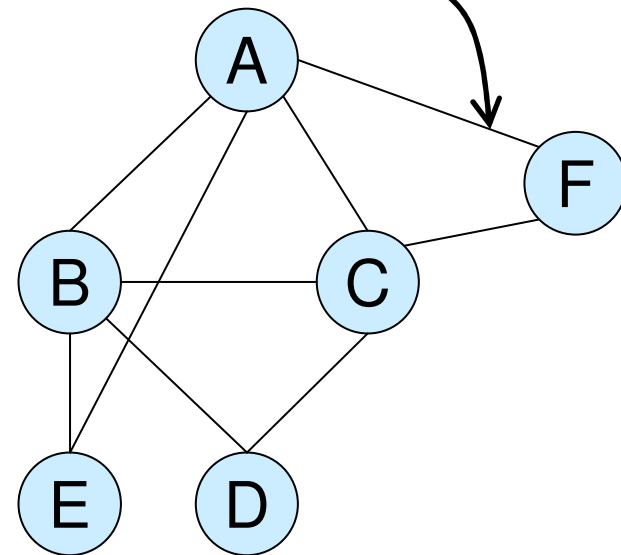
$$F_i := F = A + C$$



# Graphical models

- A graphical model  $(X, D, C)$ :
  - $X = \{X_1, \dots, X_n\}$  variables
  - $D = \{D_1, \dots, D_n\}$  domains
  - $C = \{F_1, \dots, F_t\}$  functions (constraints, CPTS, cnfs)

$$F_i := P(F | A, C)$$
$$F_i := F = A + C$$



**Operators: combine  
and project:**

□ **MPE:**  $\max_x \prod_j P_j$

□ **CSP:**  $\prod_{x \times_j} C_j$

□ **Max-CSP:**  $\min_x \sum_j F_j$

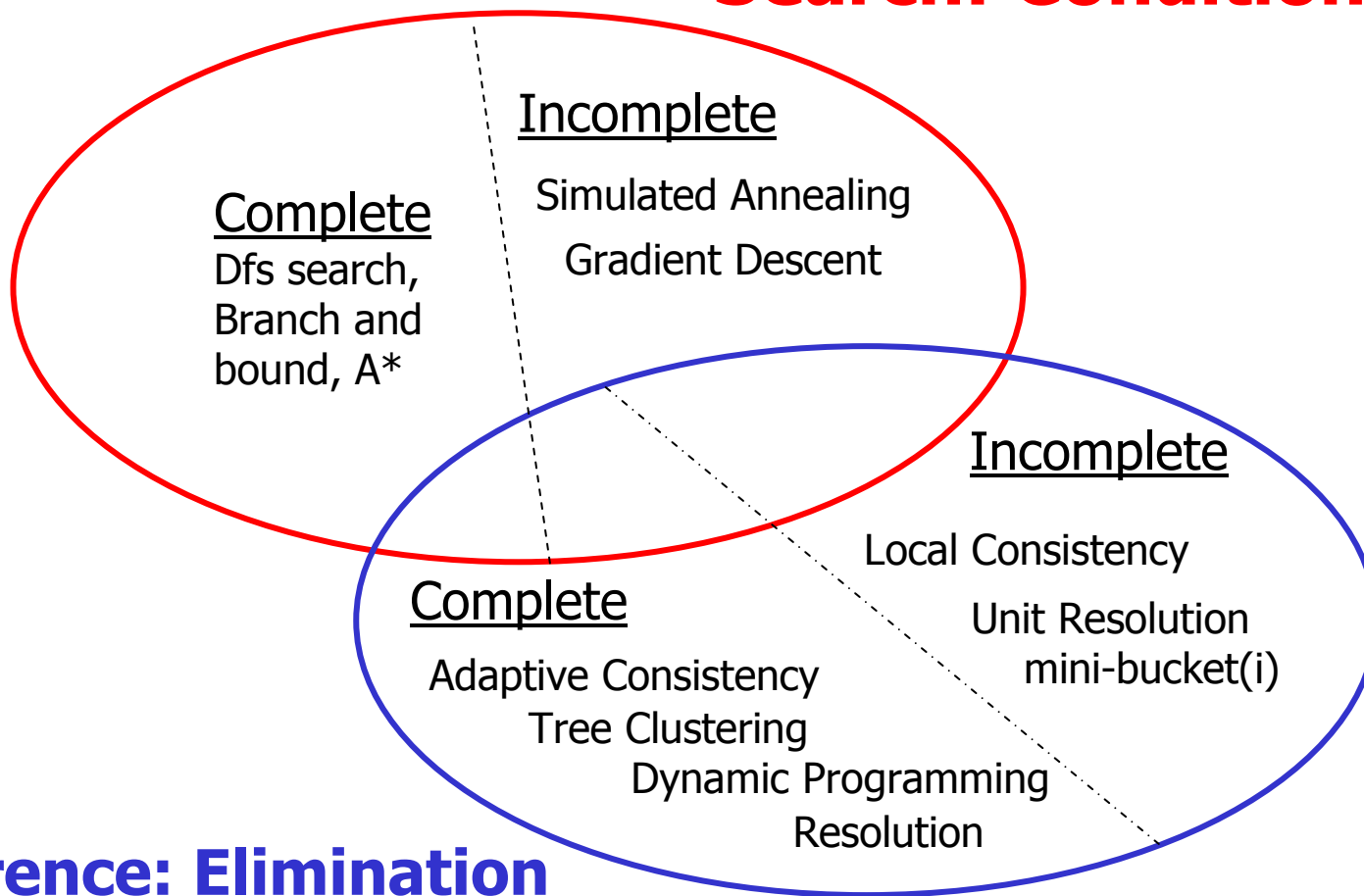
□ **Belief updating:**  $\sum_{x-y} \prod_j P_i$

- All these tasks are NP-hard
- → identify special cases
- → approximate



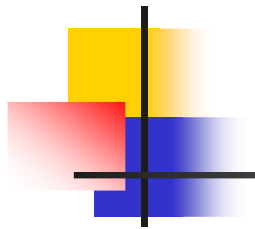
# Solution Techniques

## Search: Conditioning



## Inference: Elimination

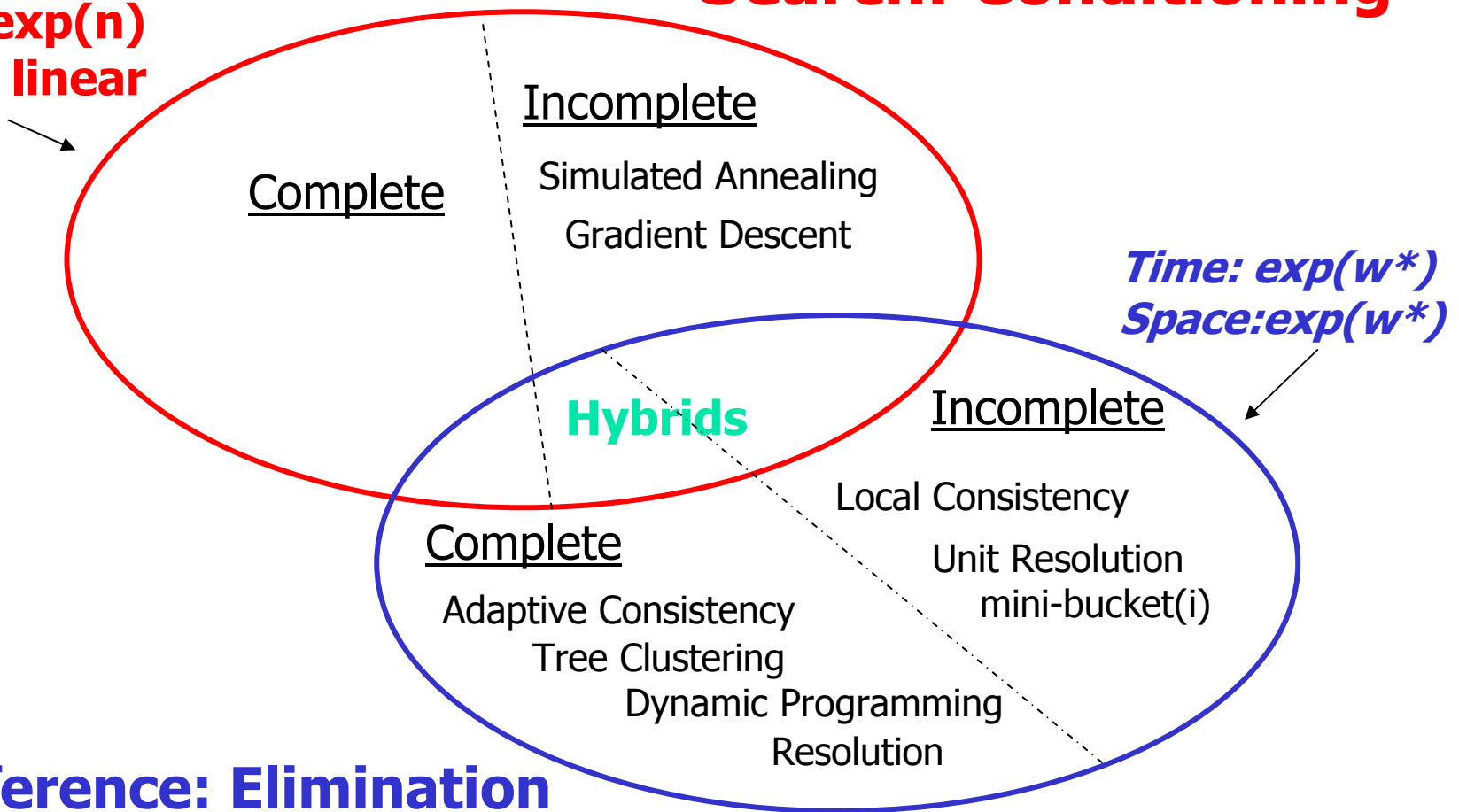
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# Solution Techniques

## Search: Conditioning

**Time:  $\exp(n)$   
Space: linear**



***Time:  $\exp(w^*)$   
Space:  $\exp(w^*)$***

## Inference: Elimination



# Solution Techniques

## AND/OR search

Time:  $\exp(w^* \log n)$

Space: linear

## Search: Conditioning

Time:  $\exp(w^*)$   
Space:  $\exp(w^*)$

Space:  $\exp(i)$   
Time:  $\exp(C_i)$

Incomplete

Simulated Annealing  
Gradient Descent

Complete

Hybrids:  
AND-OR(i)

Incomplete

Local Consistency

Unit Resolution  
mini-bucket(i)

Complete

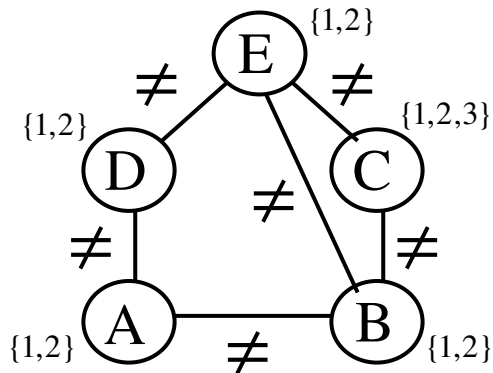
Adaptive Consistency  
Tree Clustering  
Dynamic Programming  
Resolution

## Inference: Elimination

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# Bucket Elimination

## Adaptive Consistency (Dechter & Pearl, 1987)



$Bucket(E): E \neq D, E \neq C, E \neq B$

$Bucket(D): D \neq A \parallel R_{DCB}$

$Bucket(C): C \neq B \parallel R_{ACB}$

$Bucket(B): B \neq A \parallel R_{AB}$

$Bucket(A): R_A$

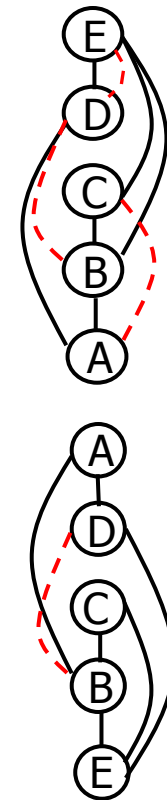
$Bucket(A): A \neq D, A \neq B$

$Bucket(D): D \neq E \parallel R_{DB}$

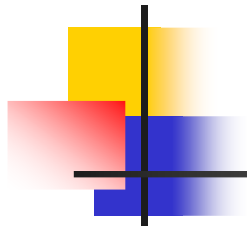
$Bucket(C): C \neq B, C \neq E$

$Bucket(B): B \neq E \parallel R_{BE}^D, R_{BE}^C$

$Bucket(E): \parallel R_E$



**Complexity :  $O(n \exp(w^*(d)))$ ,**  
 $w^*(d)$  - induced width along ordering  $d$

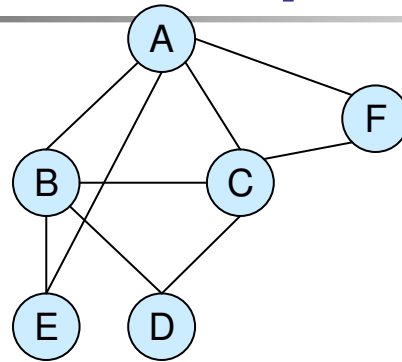


# Outline

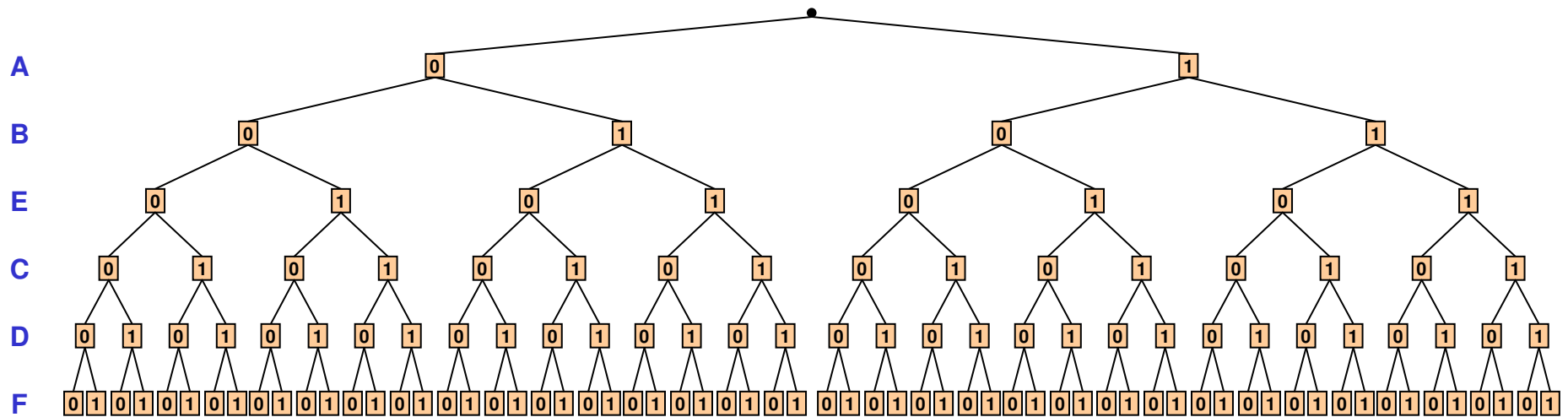
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- Background: Search and inference in Graphical models
- **AND/OR search trees**
  - **Pseudo spanning trees, dfs trees**
- AND/OR search graphs
  - Tree-width and path-width bounds
- Relationship to algorithmic and compilation schemes.

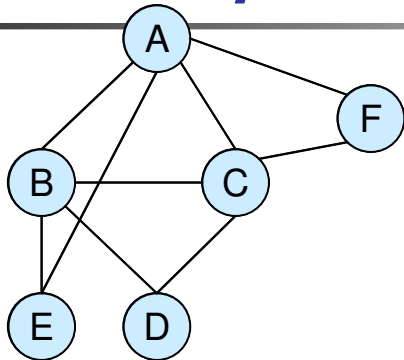
# OR search space



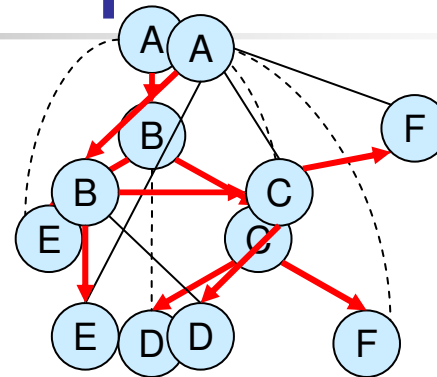
Ordering: A B E C D F



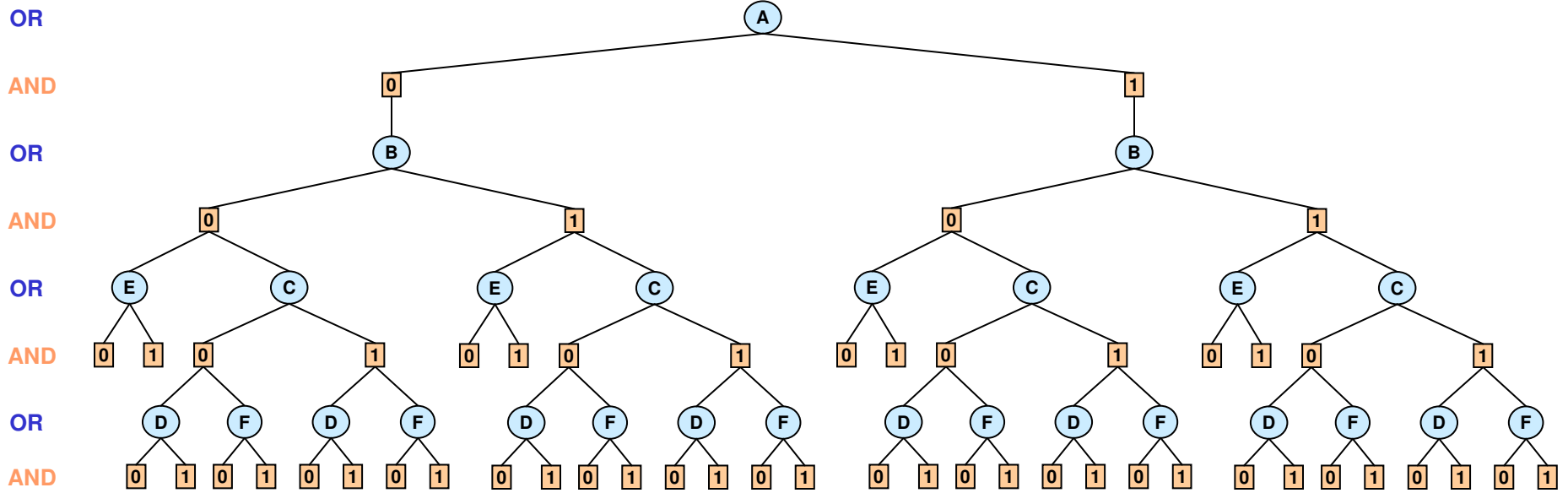
# AND/OR search space



Primal graph

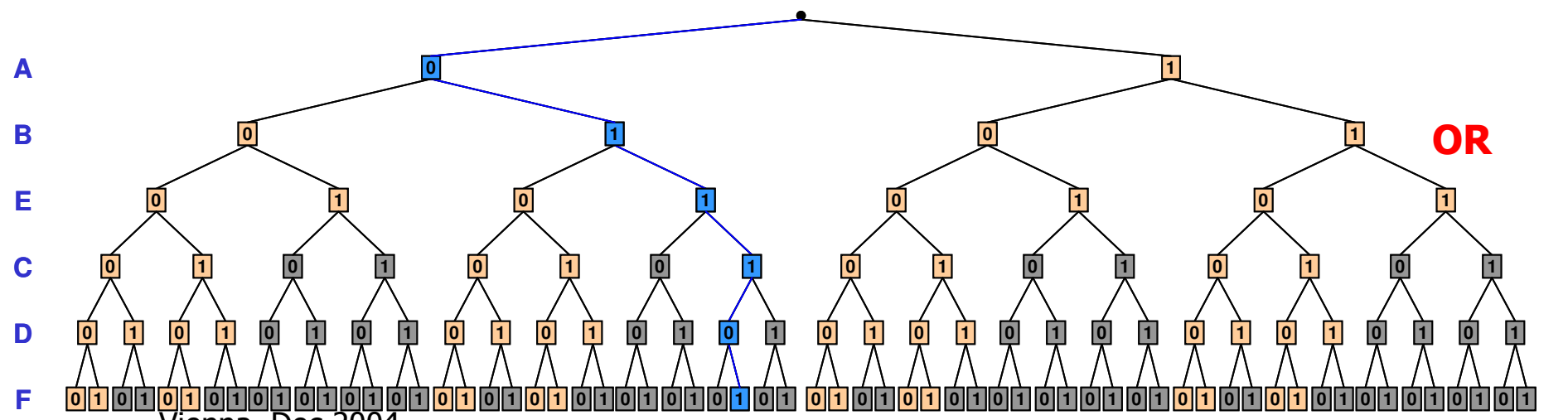
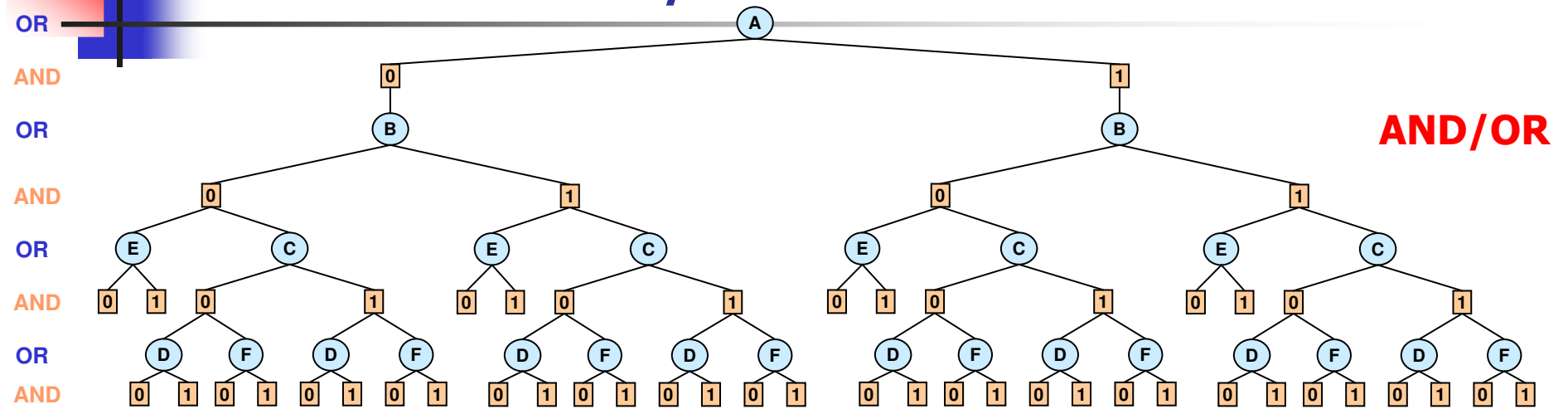
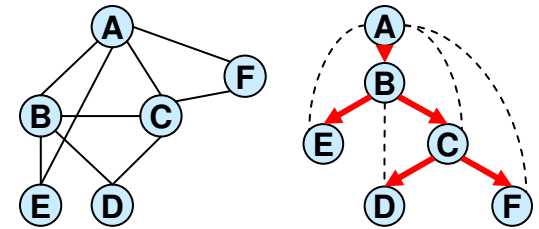


DFS tree



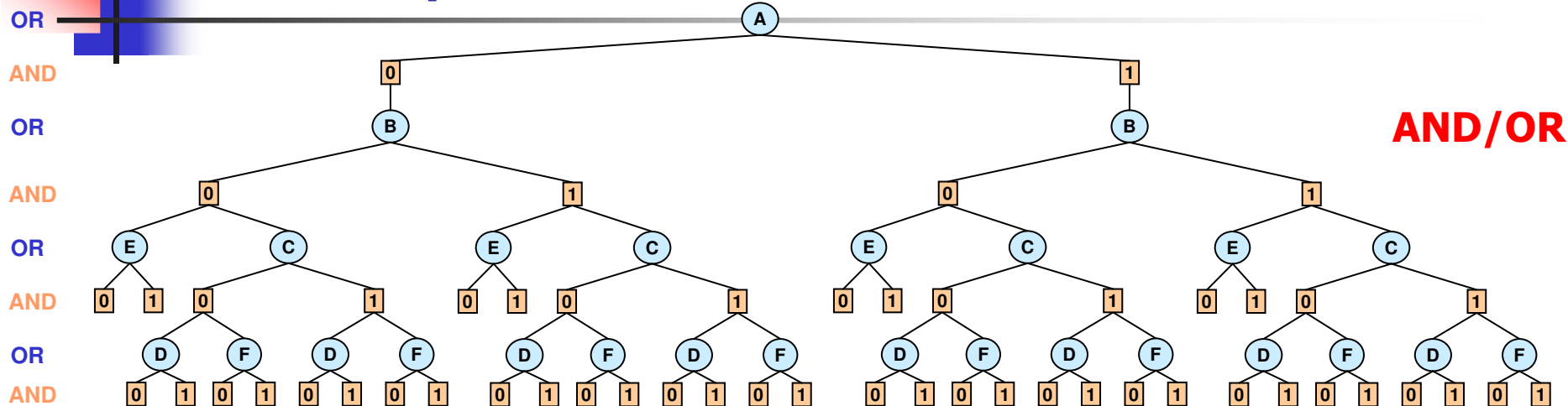
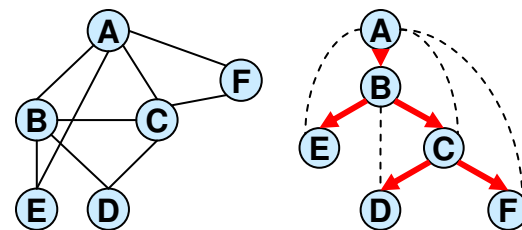
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# OR vs AND/OR

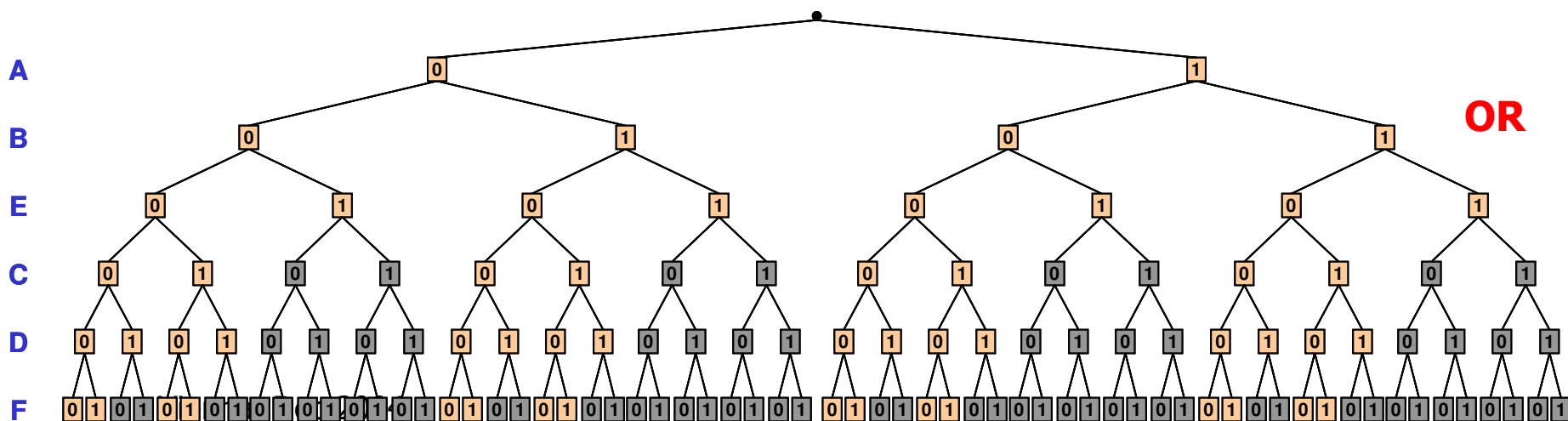


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# AND/OR vs. OR

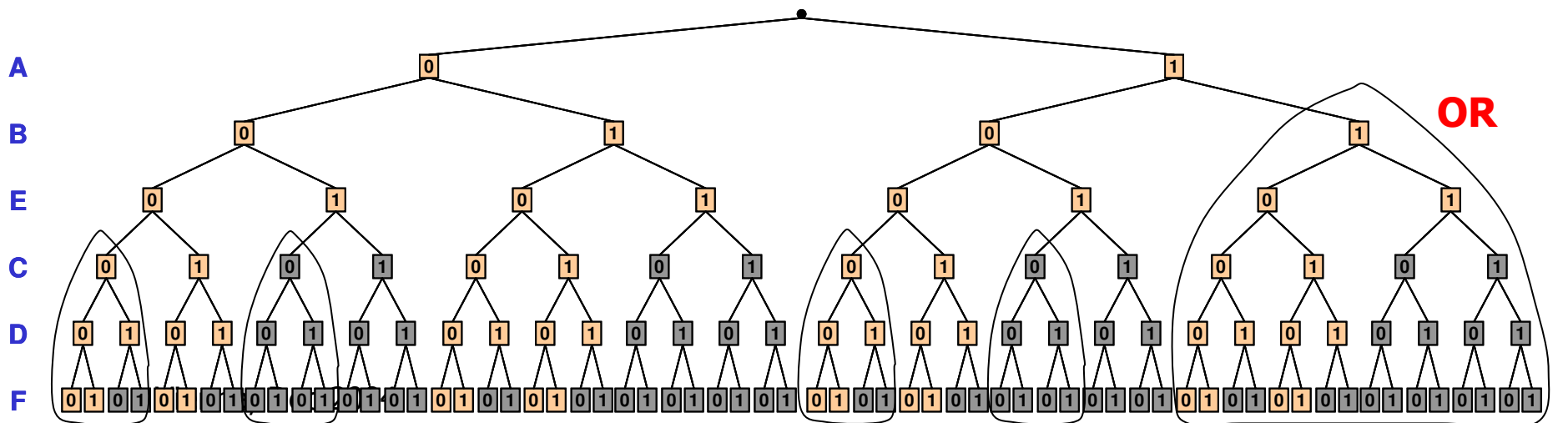
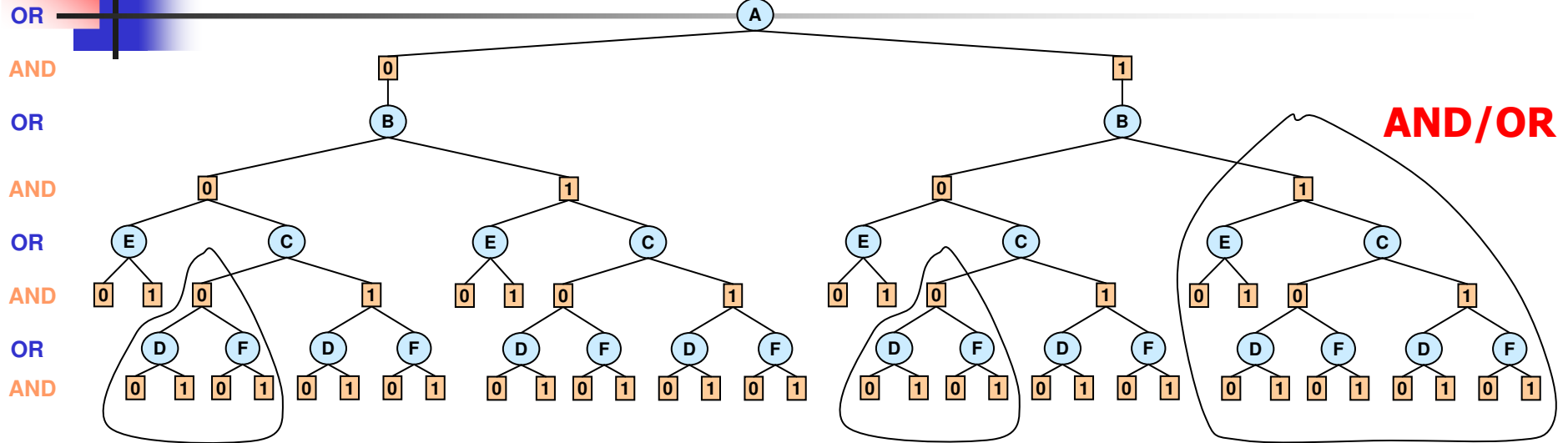
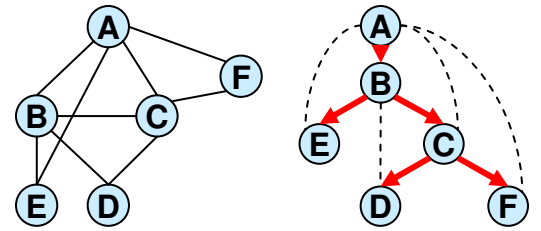


**AND/OR size:  $\exp(4)$ , OR size  $\exp(6)$**



# AND/OR vs. OR

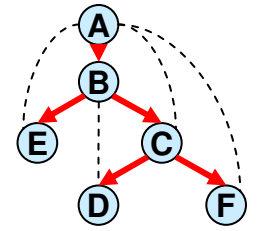
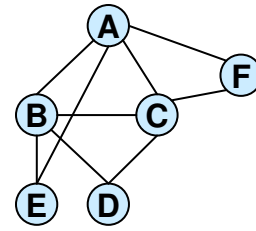
No-goods  
 (A=1, B=1)  
 (B=0, C=0)



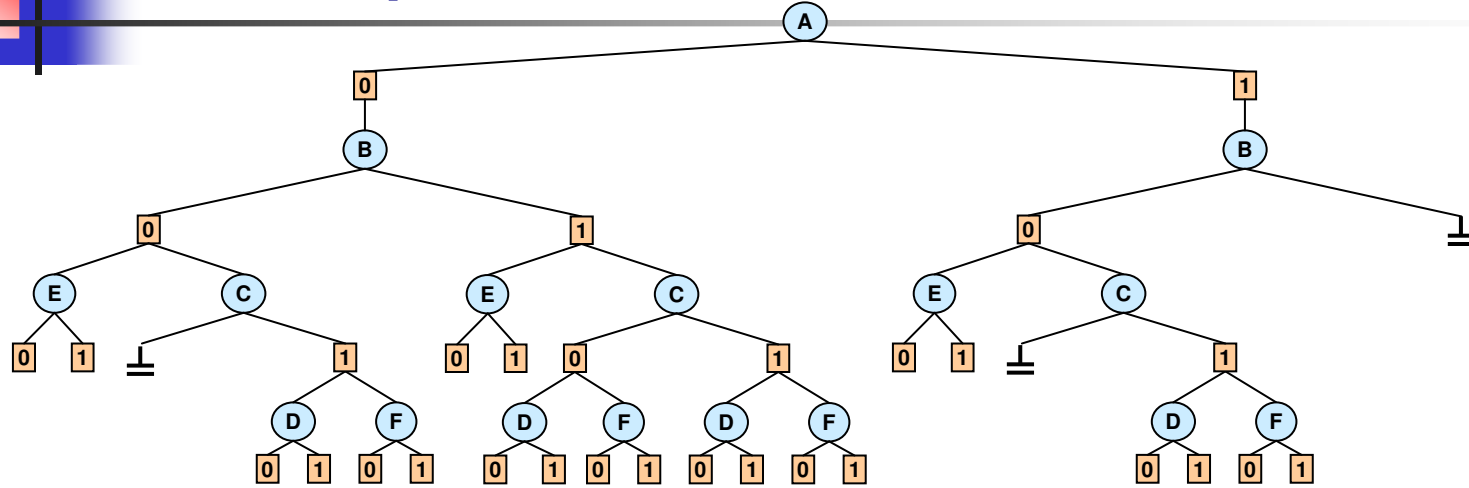


# AND/OR vs. OR

(A=1, B=1)  
(B=0, C=0)

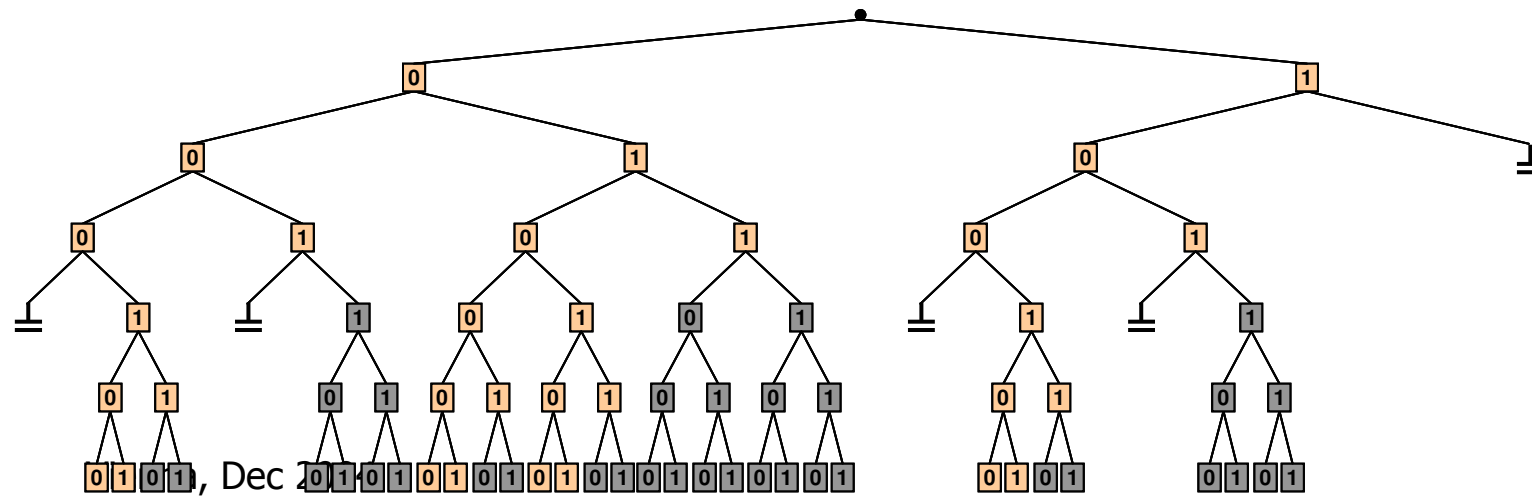


OR  
AND  
OR  
AND  
OR  
AND  
OR  
AND



**AND/OR**

A  
B  
E  
C  
D  
F



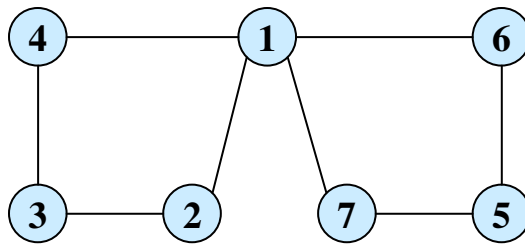
**OR**



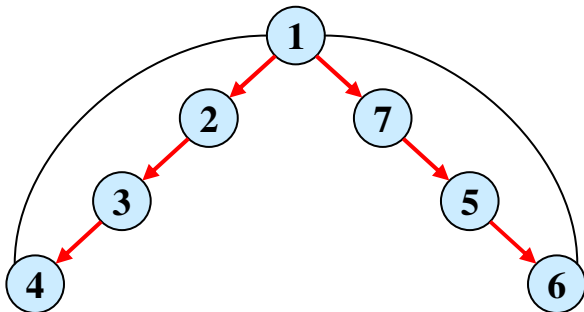
# OR space vs. AND/OR space

width	height	OR space			AND/OR space		
		time(sec.)	nodes	backtracks	time(sec.)	AND nodes	OR nodes
5	10	3.154	2,097,150	1,048,575	0.03	10,494	5,247
4	9	3.135	2,097,150	1,048,575	0.01	5,102	2,551
5	10	3.124	2,097,150	1,048,575	0.03	8,926	4,463
4	10	3.125	2,097,150	1,048,575	0.02	7,806	3,903
5	13	3.104	2,097,150	1,048,575	0.1	36,510	18,255
5	10	3.125	2,097,150	1,048,575	0.02	8,254	4,127
6	9	3.124	2,097,150	1,048,575	0.02	6,318	3,159
5	10	3.125	2,097,150	1,048,575	0.02	7,134	3,567
5	13	3.114	2,097,150	1,048,575	0.121	37,374	18,687
5	10	3.114	2,097,150	1,048,575	0.02	7,326	3,663

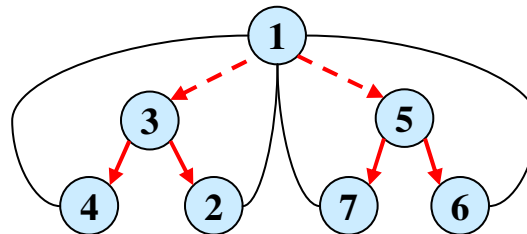
# From DFS trees to pseudo-trees (Freuder 85, Bayardo 95)



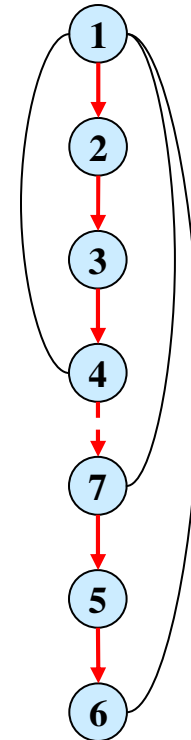
(a) Graph



(b) DFS tree  
depth=3

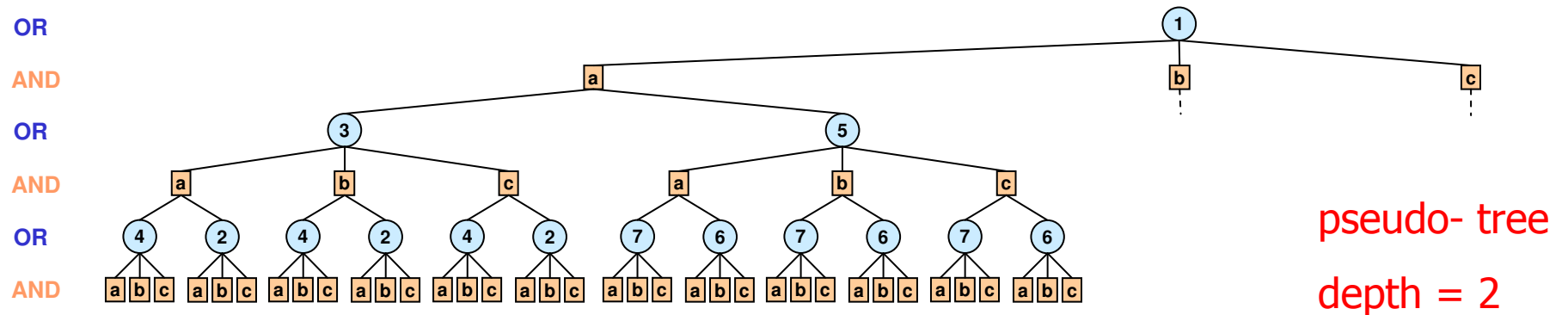
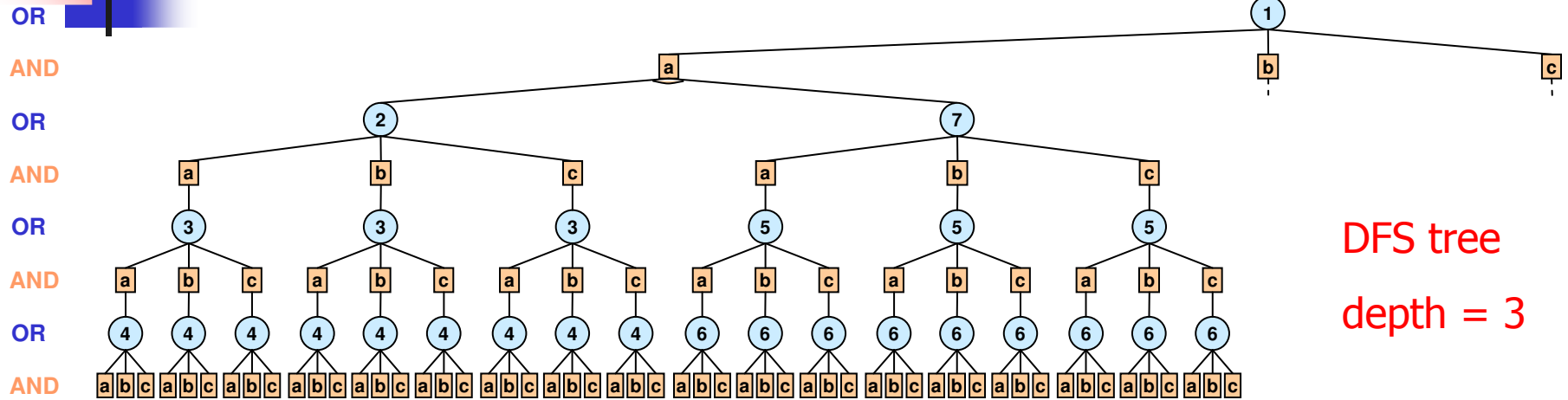


(c) pseudo- tree  
depth=2



(d) Chain  
depth=6

# From DFS trees to Pseudo-trees





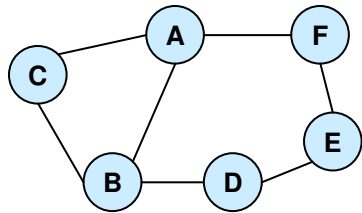
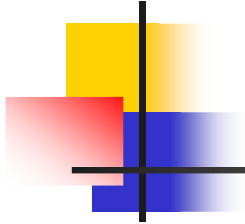
## Finding min-depth backbone trees

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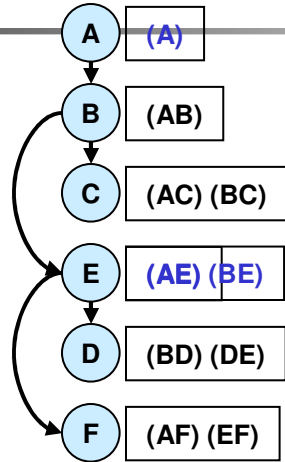
- Finding min depth DFS, or pseudo tree is NP-complete, but:
- Given a tree-decomposition whose tree-width is  $w^*$ , there exists a pseudo tree  $T$  of  $G$  whose depth, satisfies (Bayardo and Mirankar, 1996, bodlaender and Gilbert, 91):

$$m \leq w^* \log n,$$

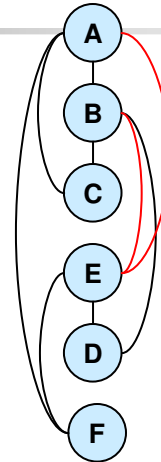
# Generating pseudo-trees from Bucket trees



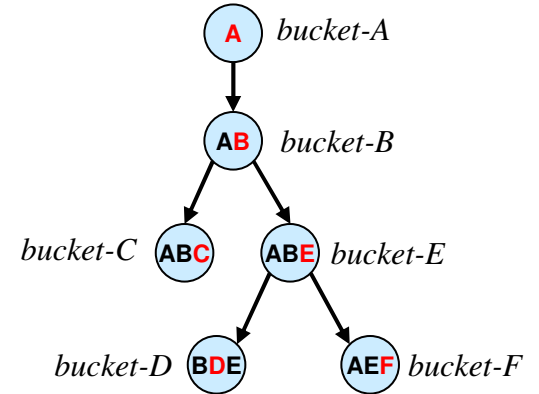
$d: A B C E D F$



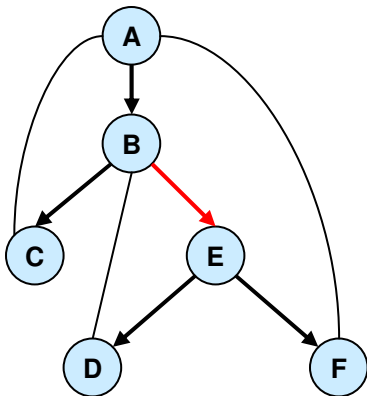
Bucket-tree based on  $d$



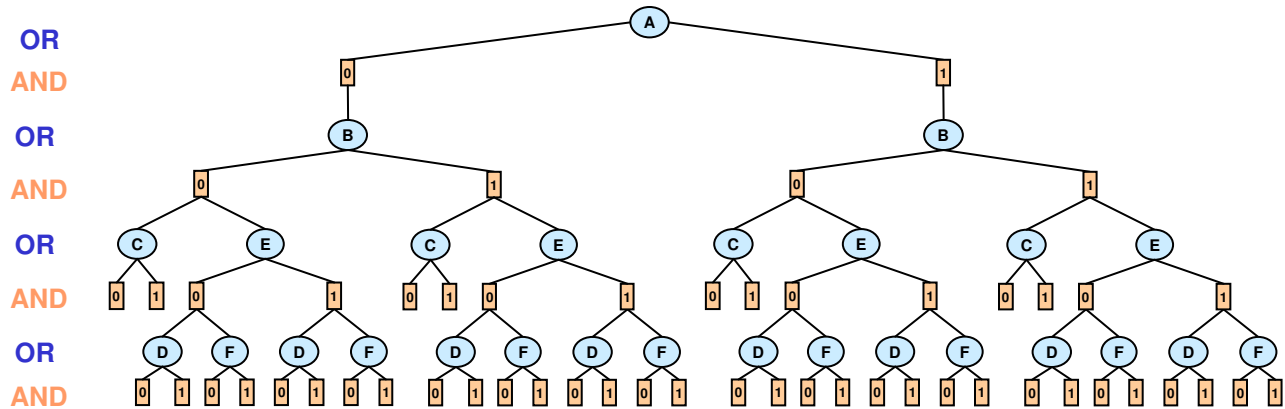
Induced graph



Bucket-tree



Bucket-tree used as pseudo-tree  
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AND/OR search tree



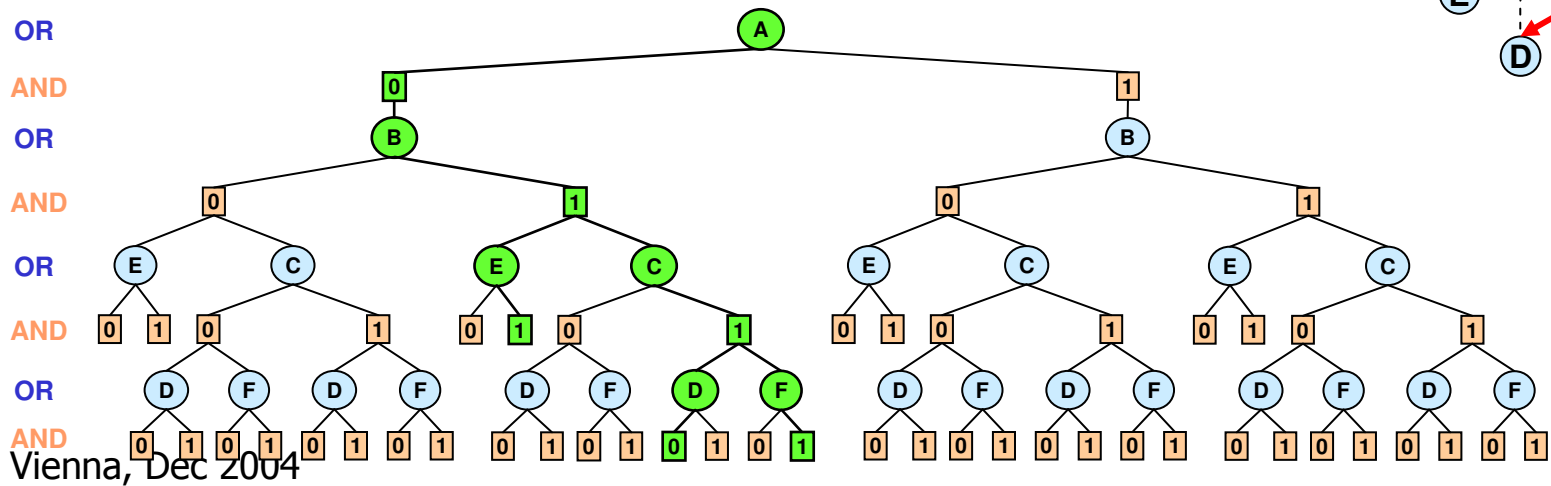
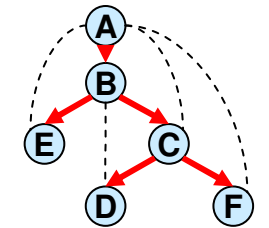
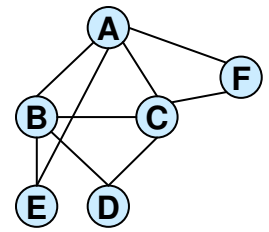
# Pseudo Trees vs. DFS Trees

<b>Model (DAG)</b>	<b>w*</b>	<b>Pseudo Tree avg. depth</b>	<b>DFS Tree avg. depth</b>
(N=50, P=2)	9.54	<b>16.82</b>	36.03
(N=50, P=3)	16.1	<b>23.34</b>	40.6
(N=50, P=4)	20.91	<b>28.31</b>	43.19
(N=100, P=2)	18.3	<b>27.59</b>	72.36
(N=100, P=3)	30.97	<b>41.12</b>	80.47
(N=100, P=4)	40.27	<b>50.53</b>	86.54

N = number of nodes, P = number of parents. MIN-FILL ordering. 100 instances.

# AND/OR search tree for graphical models

- The AND/OR search tree of R relative to a spanning-tree, T, has:
  - Alternating levels of: **OR** nodes (variables) and **AND** nodes (values)
- Successor function:
  - The successors of **OR nodes X** are all its consistent values along its path
  - The successors of **AND  $\langle X, v \rangle$**  are all X child variables in T
- A **solution** is a consistent subtree
- **Task:** compute the value of the root node





# AND/OR Search-tree properties

( $k$  = domain size,  $m$  = pseudo-tree depth.  $n$  = number of variables)

- **Theorem:** Any AND/OR search tree based on a pseudo-tree is sound and complete (expresses all and only solutions)
- **Theorem:** Size of AND/OR search tree is  $O(n k^m)$   
Size of OR search tree is  $O(k^n)$
- **Theorem:** Size of AND/OR search tree can be bounded by  $O(\exp(w^* \log n))$
- **Related to:** (Freuder 85; Dechter 90, Bayardo et. al. 96, Darwiche 1999, Bacchus 2003)
- When the pseudo-tree is a chain we get an OR space

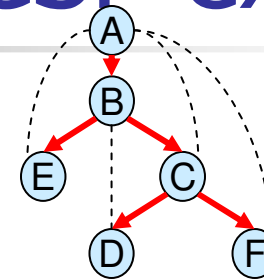
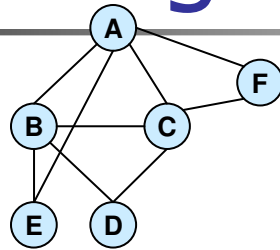


# Tasks and value of nodes

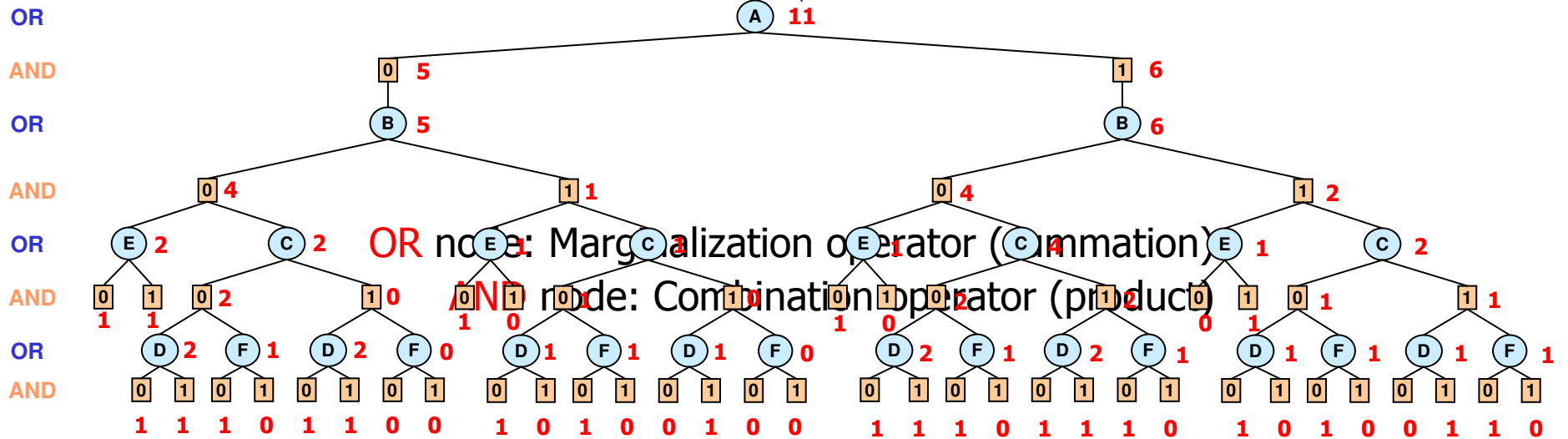
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- **V( n) is the value of the tree T(n) for the task:**
  - **Consistency:**  $v(n)$  is 0 if T(n) inconsistent, 1 otherwise.
  - **Counting:**  $v(n)$  is number of solutions in T(n)
  - **Optimization:**  $v(n)$  is the optimal solution in T(n)
  - **Belief updating:**  $v(n)$ , probability of evidence in T(n).
  - **Partition function:**  $v(n)$  is the total probability in T(n).
- **Goal:** compute the value of the root node recursively using dfs search of the AND/OR tree.
- **Theorem: Complexity of AO dfs search is**
  - **Space:**  $O(n)$
  - **Time:**  $O(n k^m)$
  - **Time:**  $O(\exp(w * \log n))$

# DFS algorithm (#CSP example)



solution



Value of node = number of solutions below it

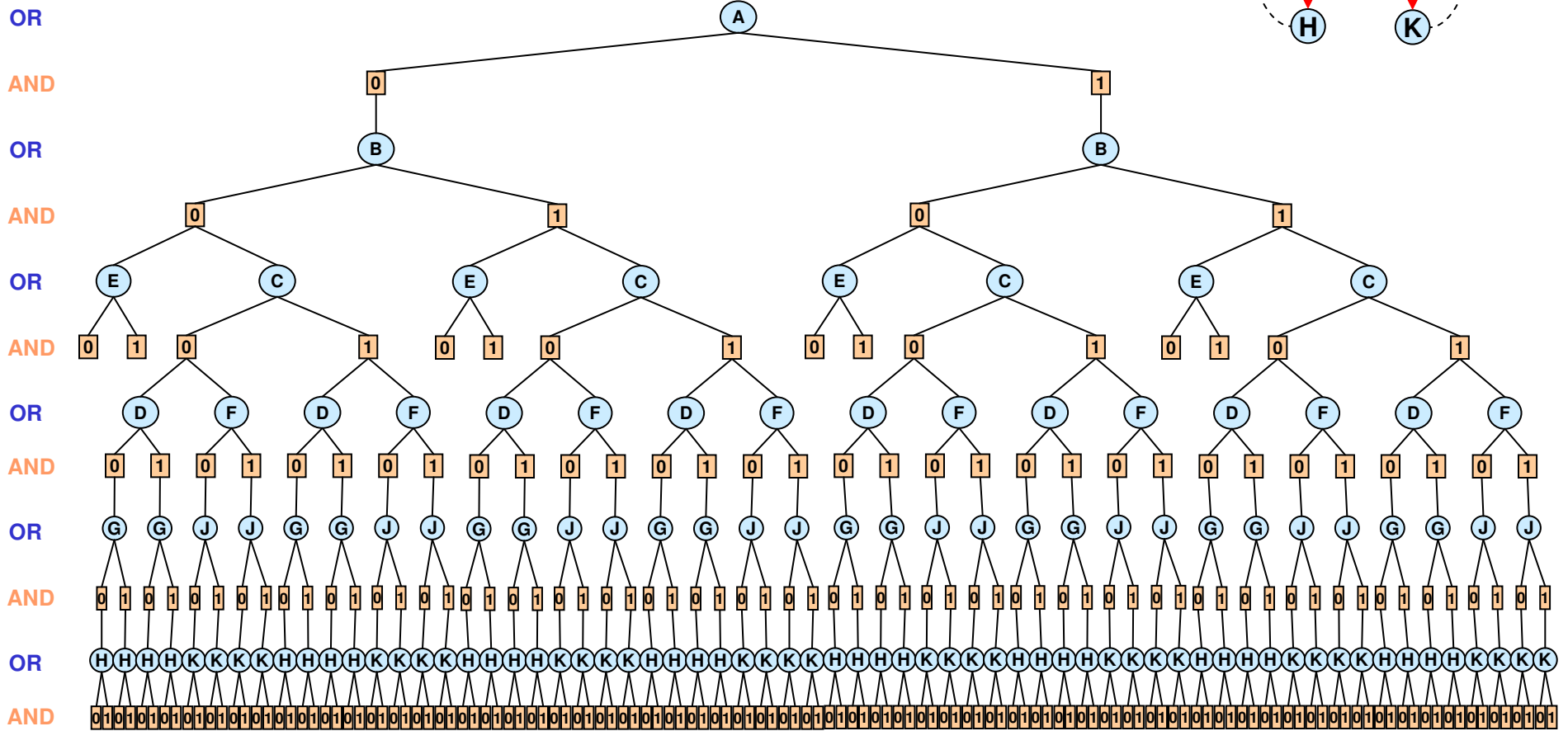
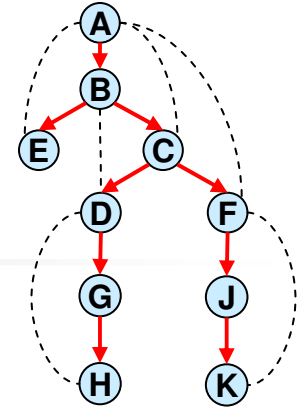
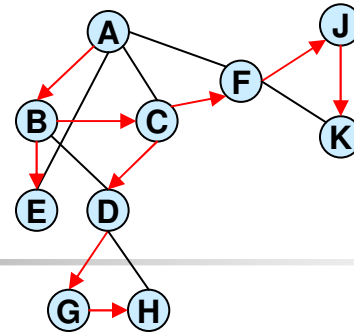


# From Search Trees to Search Graphs

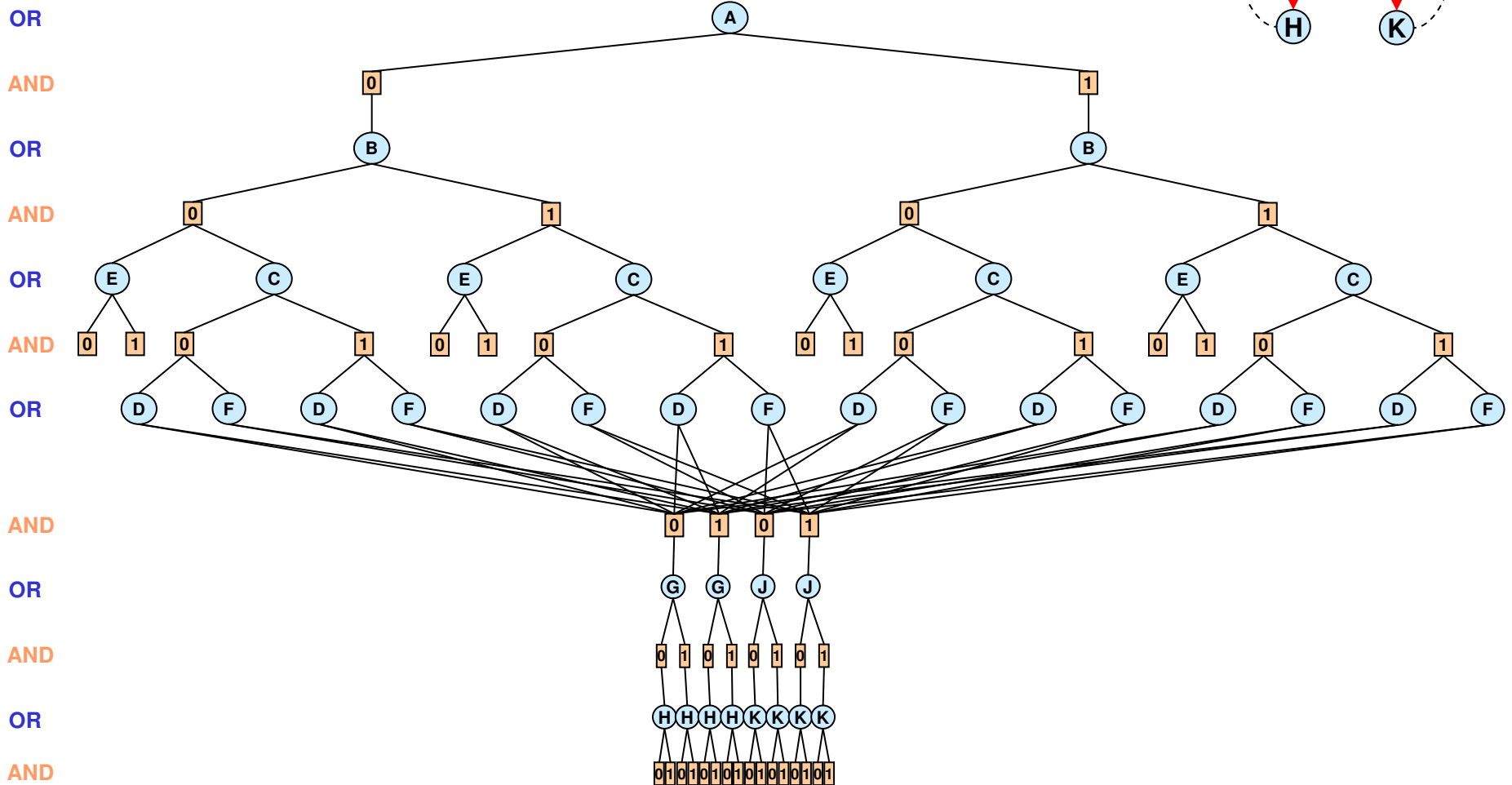
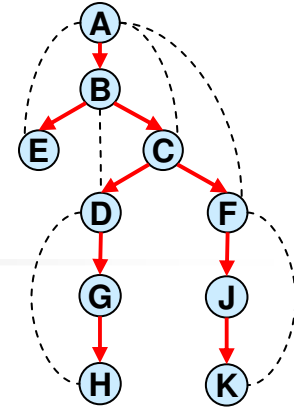
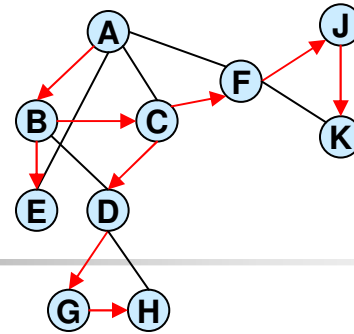
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- Any two nodes that root identical subtrees/subgraphs can be **merged**
- **Minimal AND/OR search graph:**  
closure under merge of the AND/OR search tree
  - Inconsistent subtrees can be pruned too.
  - Some portions can be collapsed or reduced.

# AND/OR Tree



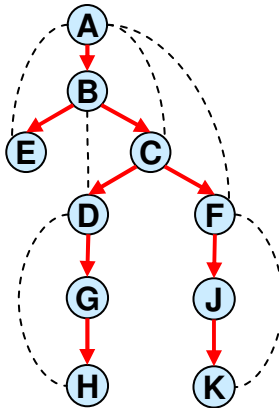
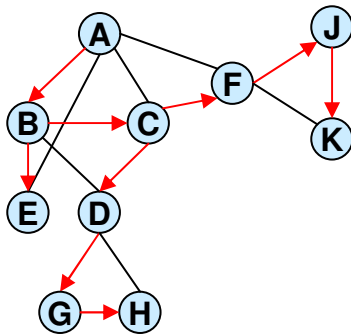
# An AND/OR graph



OR  
AND  
OR  
AND  
OR  
AND  
OR  
AND  
OR  
AND

# Context based caching

- Caching is possible when **context** is the same
- **context** = parent-separator set in induced pseudo-graph  
= current variable +  
parents connected to subtree below



$\text{context}(B) = \{A, B\}$

$\text{context}(C) = \{A, B, C\}$

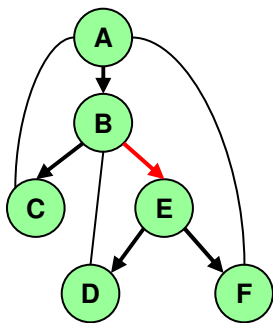
$\text{context}(D) = \{D\}$

$\text{context}(F) = \{F\}$

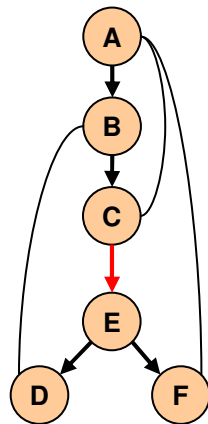
# Induced-width of pseudo-trees

The **induced-width of a pseudo-tree** is its induced-width along a dfs order that includes pseudo arcs.

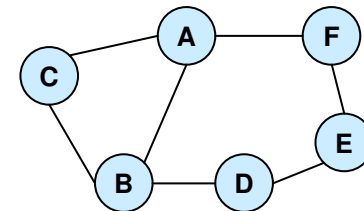
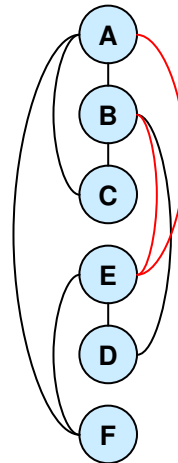
- For pseudo-chains induced-width is path-width (yielding path-decomposition)
- **Contexts are bounded by the induced-width of the pseudo tree.**
- **Min induced-pseudo-width=tree-width**



Good pseudo-tree



Bad pseudo-tree



A graph

DFS order of both pseudo trees:

$d = A B C E D F$

Vienna, Dec 2004

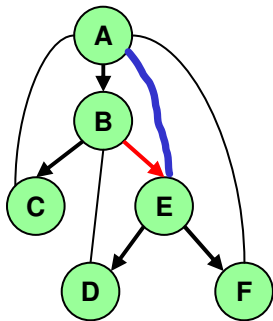


# Induced-width of pseudo-trees

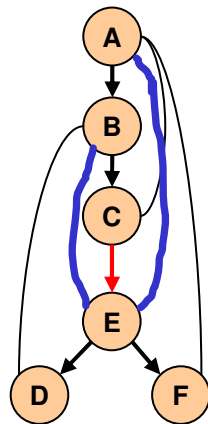
The **induced-width of a pseudo-tree** is its induced-width along a dfs order that includes pseudo arcs.

- For pseudo-chains induced-width is path-width (yielding path-decomposition)
- **Contexts are bounded by the induced-width of the pseudo tree.**
- **Min induced-pseudo-width = tree-width**

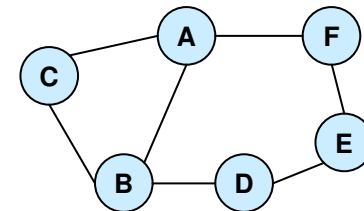
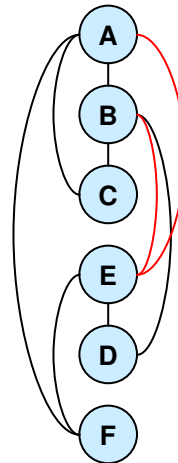
$w=2$



Good pseudo-tree



Bad pseudo-tree

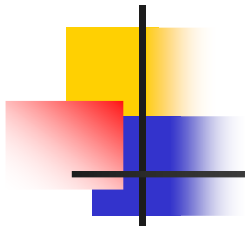


A graph

DFS order of both pseudo trees:

$d = A, B, C, E, D, F$

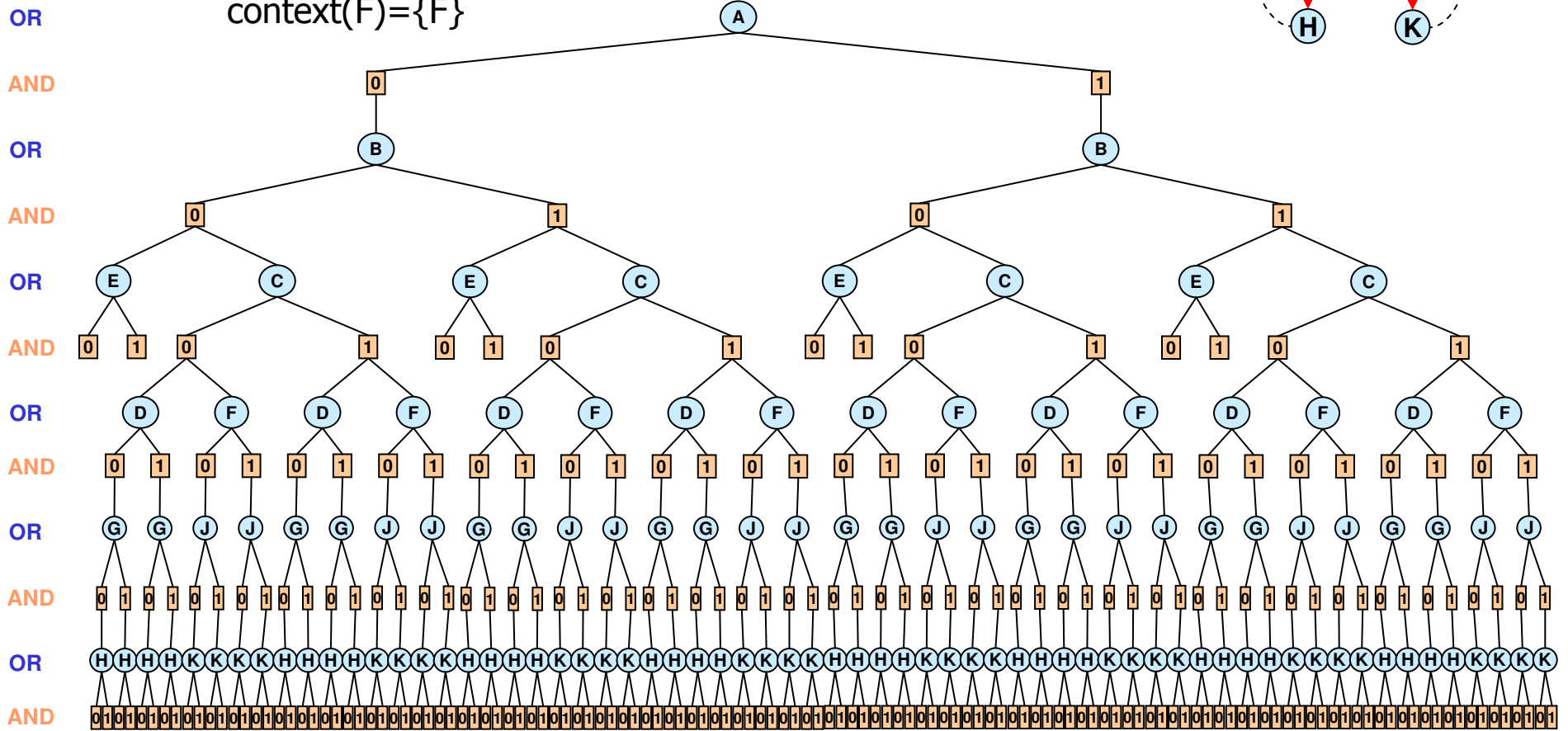
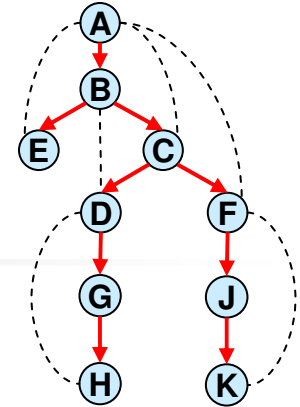
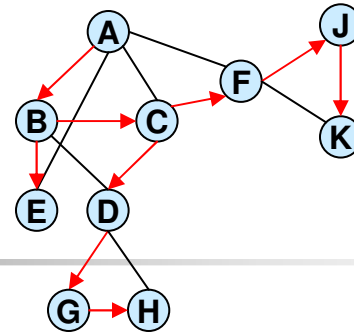
Vienna, Dec 2004

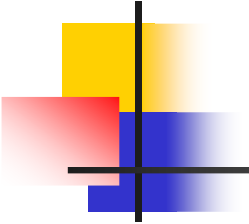


# Caching

context(D)={D}

context(F)={F}

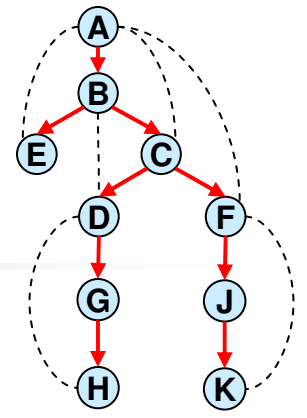
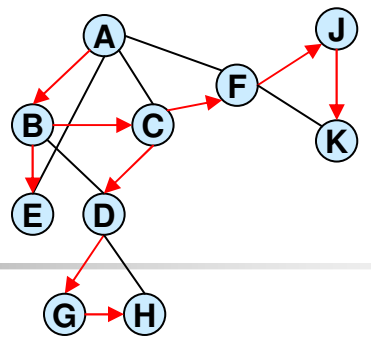




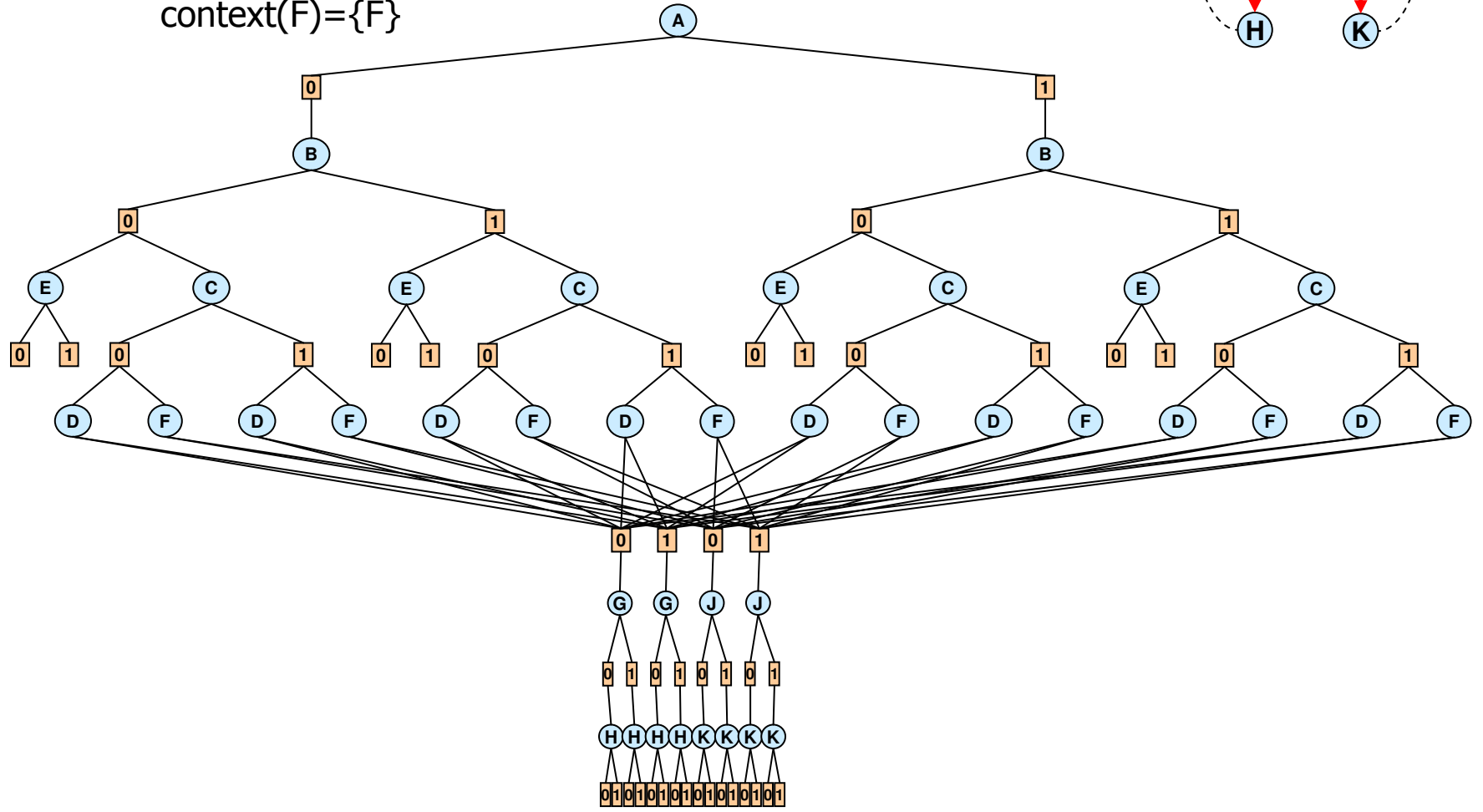
# Caching

context(D)={D}

context(F)={F}



OR  
AND  
OR  
AND  
OR  
AND  
OR  
AND  
OR  
AND





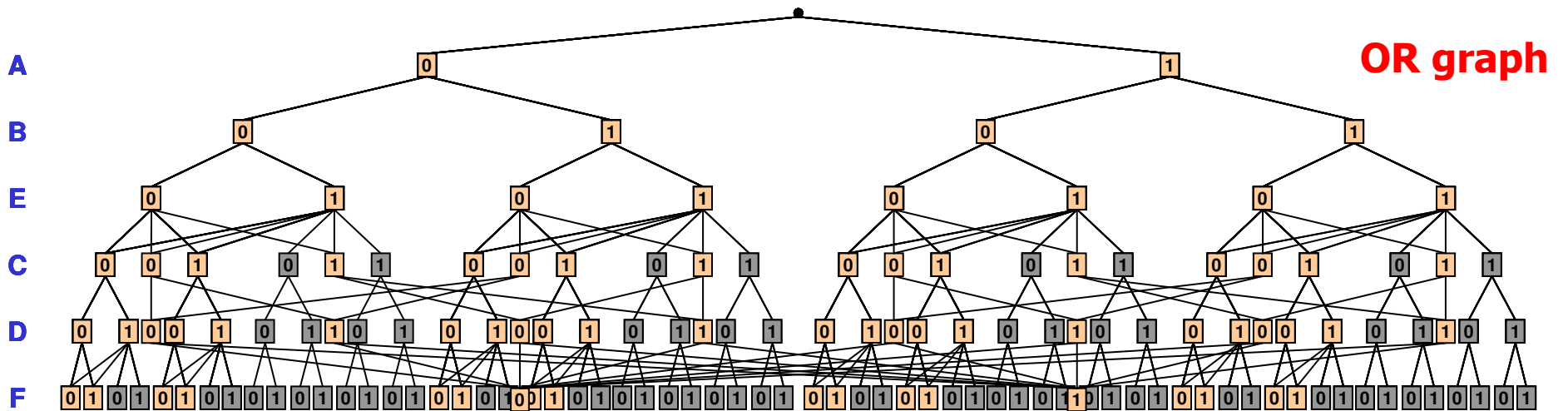
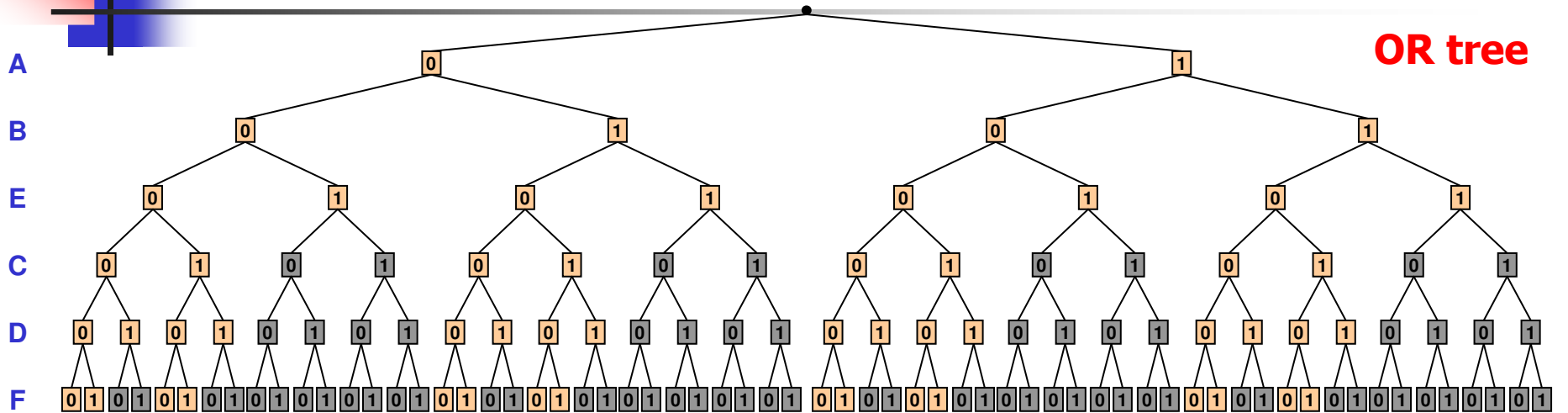
# Size of minimal AND/OR context graphs

---

## Theorem:

- Minimal AND/OR context graph is bounded exponentially by its pseudo-tree induced-width.
- The tree-width of a pseudo-chain is path-width (pw)
- → Minimal OR search graph is  $O(\exp(pw^*))$ .
- → Minimal AND/OR graph is  $O(\exp(w^*))$
- Always,  $w^* \leq pw^*$ , but  $pw^* \leq w^* \log n$

# OR tree vs. OR graph



Vienna, Dec 2004

# AND/OR tree vs. AND/OR graph

OR

AND

OR

AND

OR

AND

OR

AND

OR

OR

AND

AND

OR

AND

OR

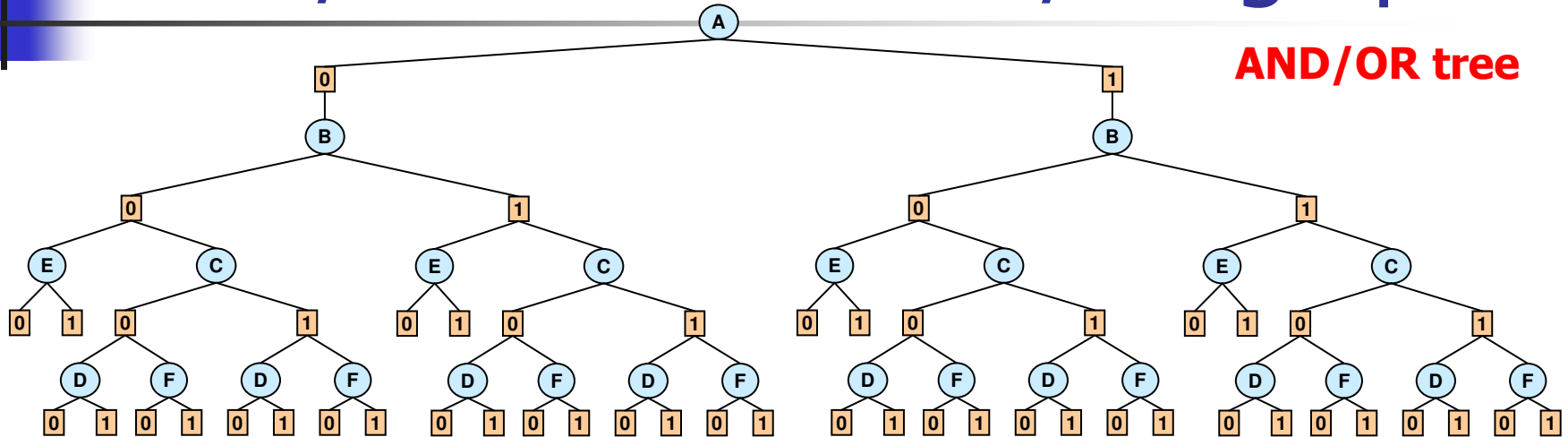
AND

OR

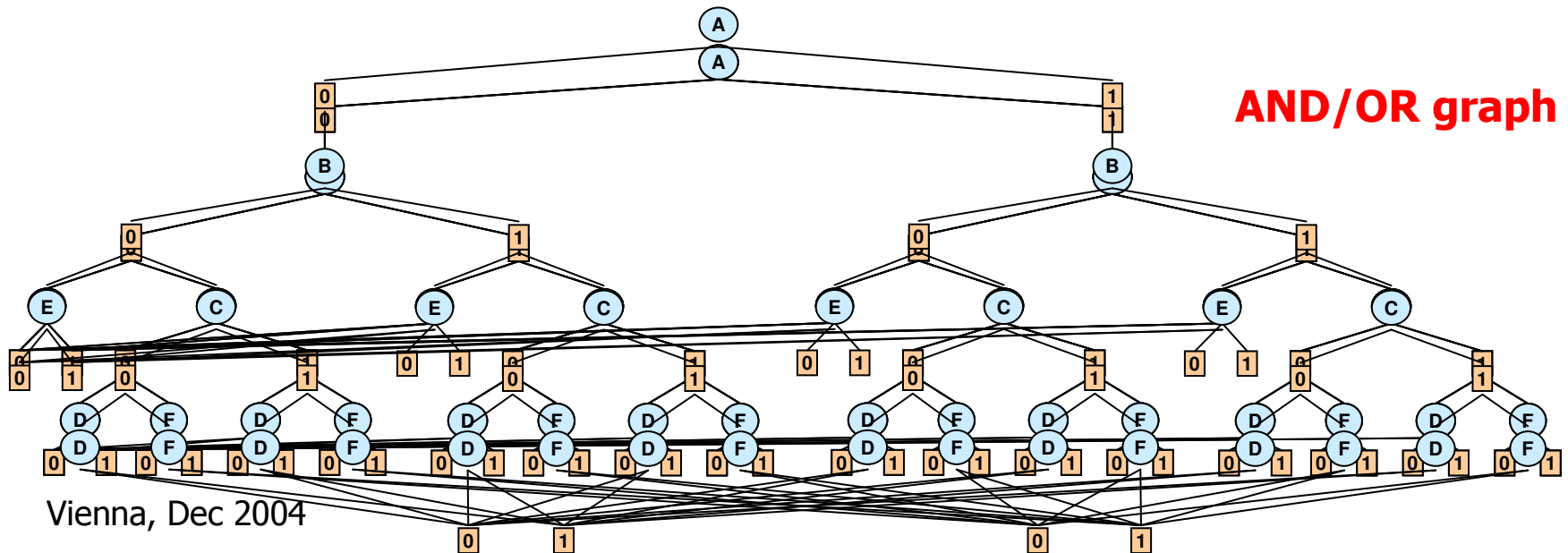
OR

AND

AND



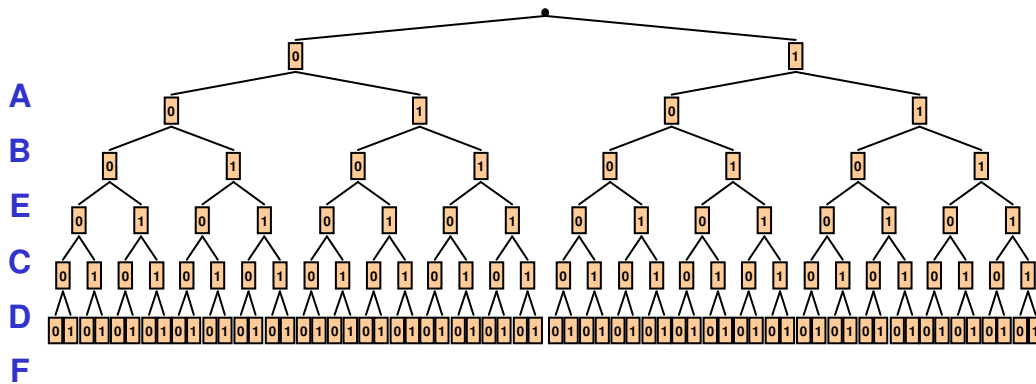
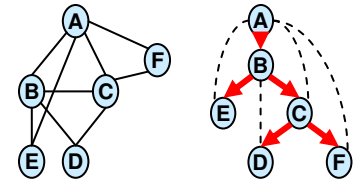
AND/OR tree



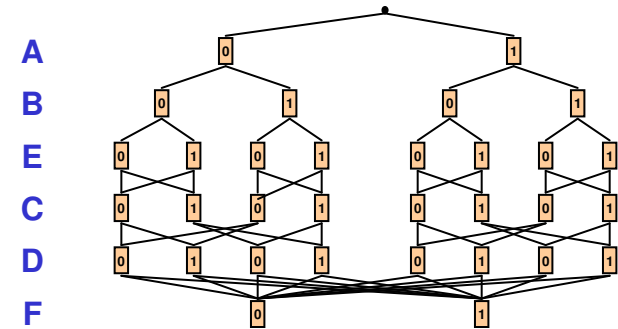
AND/OR graph

Vienna, Dec 2004

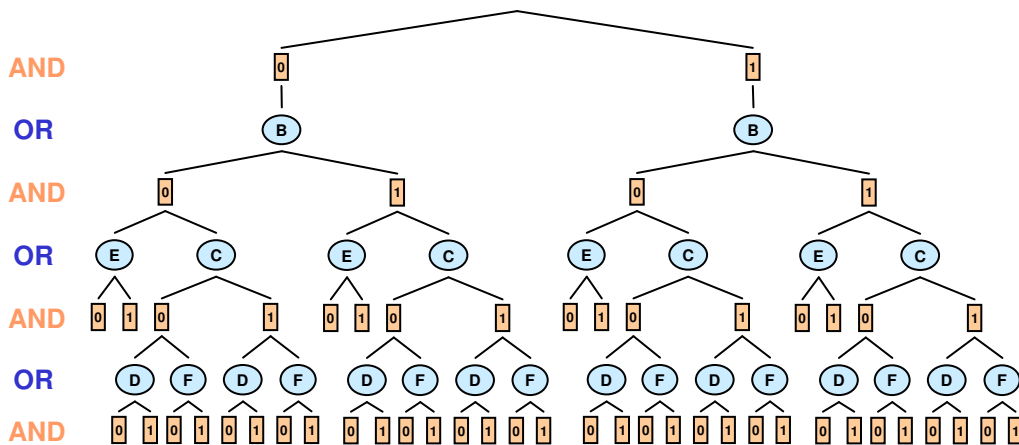
# All four search spaces



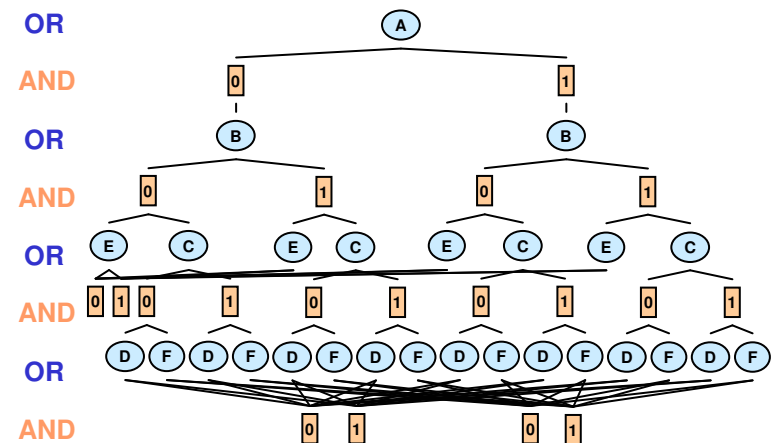
Full OR search tree



Context minimal OR search graph

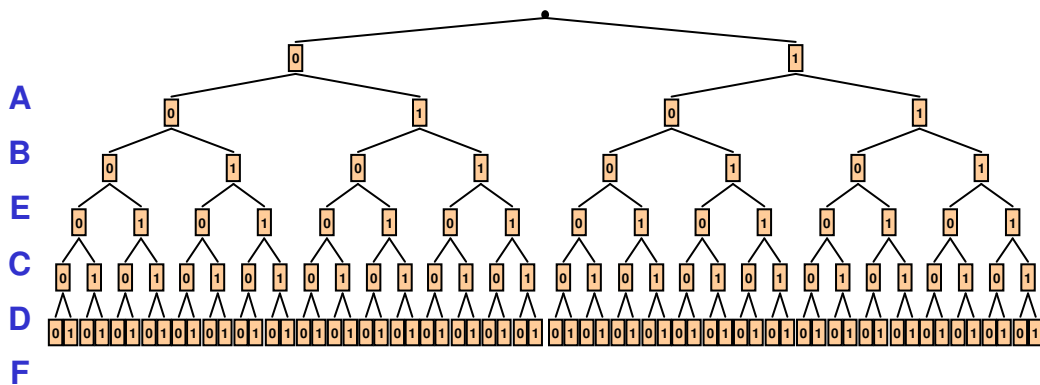
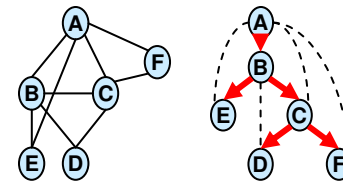


Full AND/OR search tree  
Vienna, Dec 2004

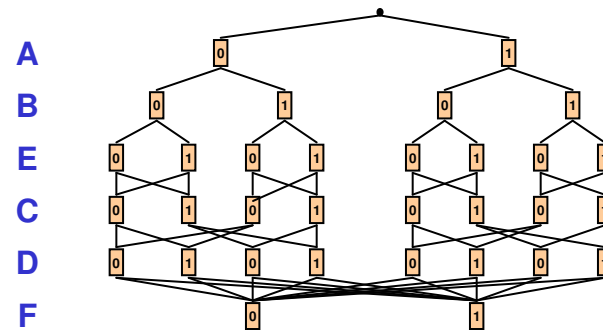


Context minimal AND/OR search graph

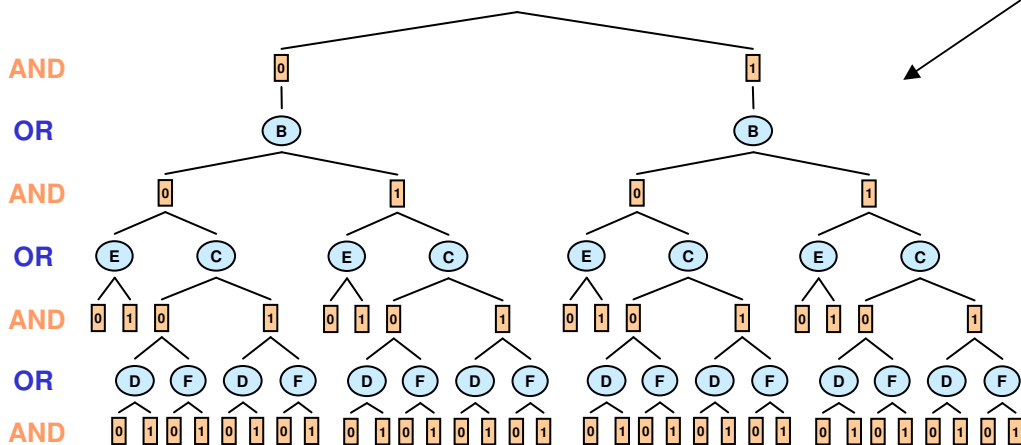
# All four search spaces



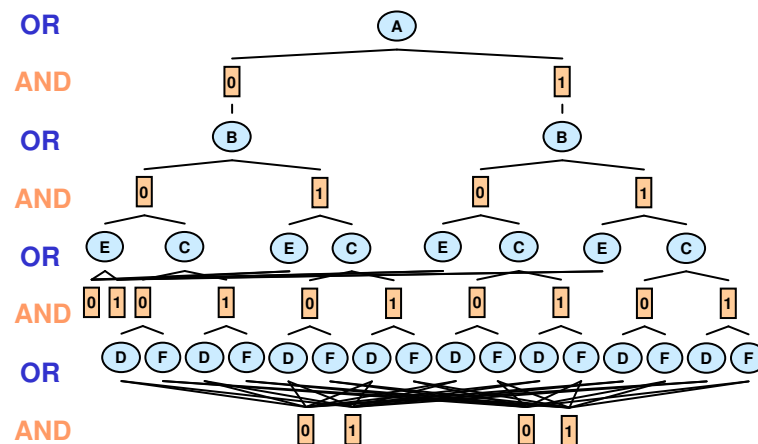
Full OR search tree



Context minimal OR search graph



Full AND/OR search tree  
Vienna, Dec 2004



Context minimal AND/OR search graph

Time-space





# AND/OR vs OR Graphs

---

$w^* \leq pw^* \leq m^* \leq w^* \log n$  (Bodlaendar et. Al, 1991)

## ■ Theorem: for balanced $w$ -trees

$$pw = m = \log n \bullet tw$$

1) and-orTree-size = orGraph-size

$$2) \frac{\text{orGraph-size}}{\text{and-orTree-size}} = k^{(w-1) \frac{\log n}{1+\log w} - 2w-3}$$



# Uniqueness of minimal AND/OR graph


---

- **Theorem:** Given a pseudo-tree, the minimal AND/OR search graph is **unique** for all graph-models that are consistent with that pseudo tree.
- **Related to compilation schemes:**
  - Minimal OR – related to OBDDs (McMillan)
  - Minimal AND/OR – related to tree-OBDDs (McMillan 94),
  - AND/OR graphs related to d-DNNF (Darwiche et. Al. 2002)



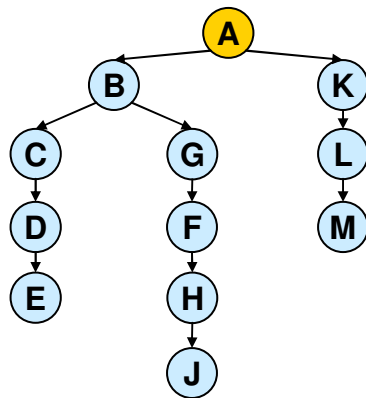
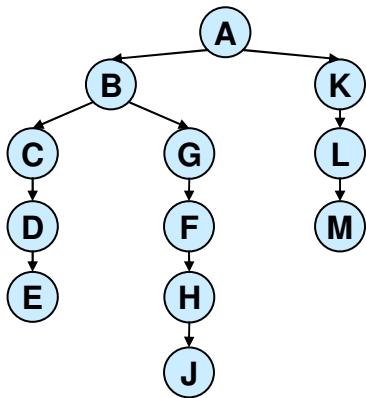
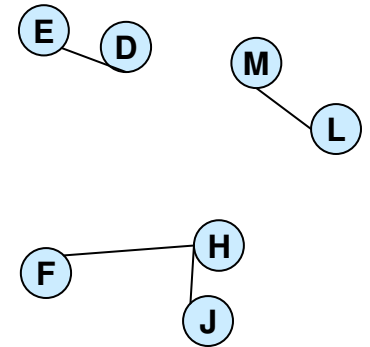
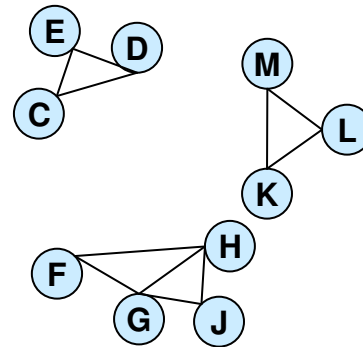
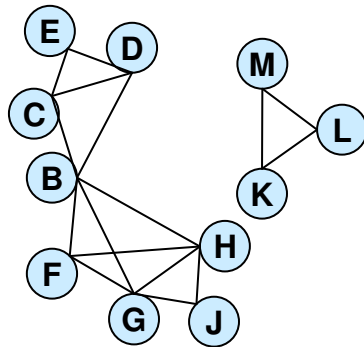
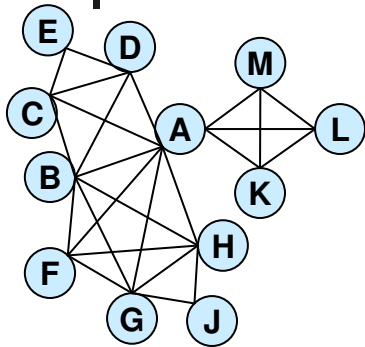
# Searching AND/OR Graphs

- $AO(i)$ : searches depth-first, cache  $i$ -context
  - $i$  = the max size of a cache table (i.e. number of variables in a context)

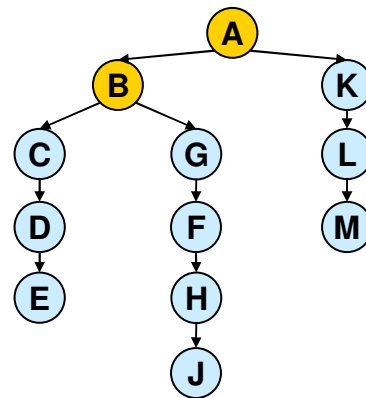
	$i=0$	$i=w^*$
		
<b>Space:</b>	$O(n)$	$O(\exp w^*)$
<b>Time:</b>	$O(\exp(w^* \log n))$	$O(\exp w^*)$

**$AO(i)$  time complexity?**

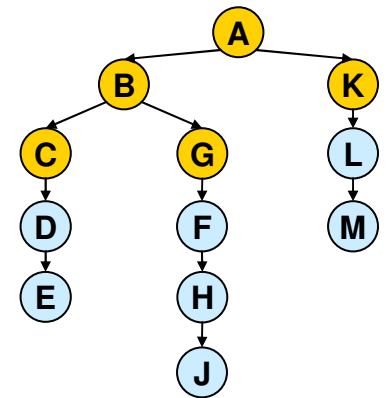
# AND/OR w-cutset



3-cutset

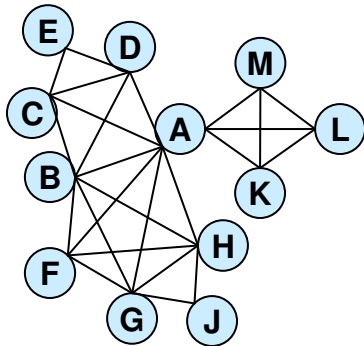


2-cutset

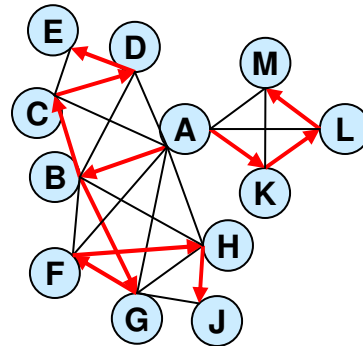


1-cutset

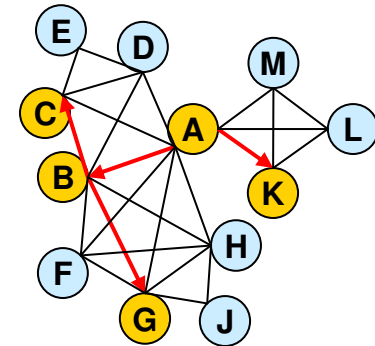
# AND/OR w-cutset



graphical model



pseudo tree

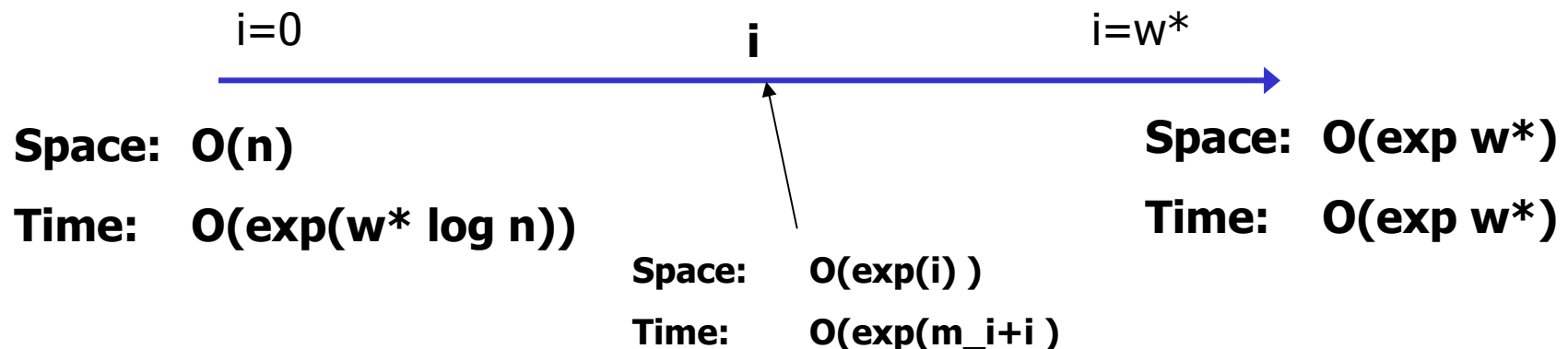


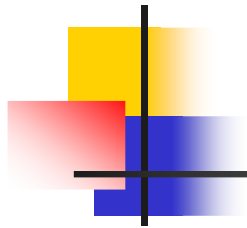
1-cutset tree



# Searching AND/OR Graphs

- $AO(i)$ : searches depth-first, cache  $i$ -context
  - $i$  = the max size of a cache table (i.e. number of variables in a context)





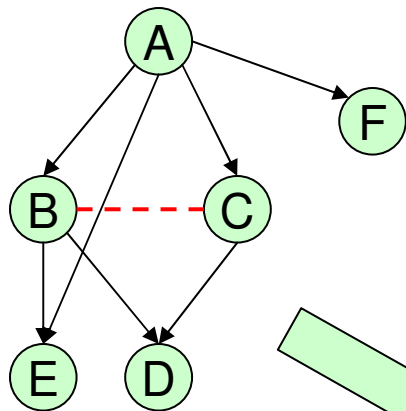
# Overview

---

- Introduction and background for graphical models: inference and search
- Inference:
  - Exact: Tree-clustering, variable elimination,
  - Approximate: mini-bucket, belief propagation
- AND/OR search spaces for graphical models
  - mixed networks
- **Empirical evaluation over mixed networks, counting**

# Mixed Networks

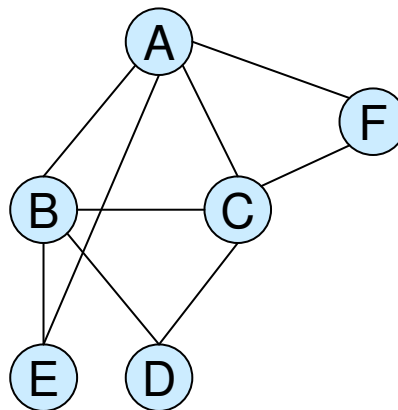
Belief Network



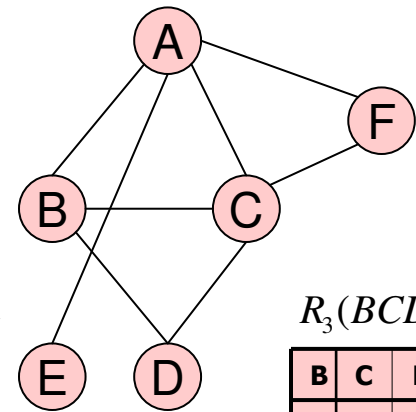
$P(D|B,C)$

B	C	D=0	D=1
0	0	.2	.8
0	1	.1	.9
1	0	.3	.7
1	1	.5	.5

Moral mixed graph



Constraint Network



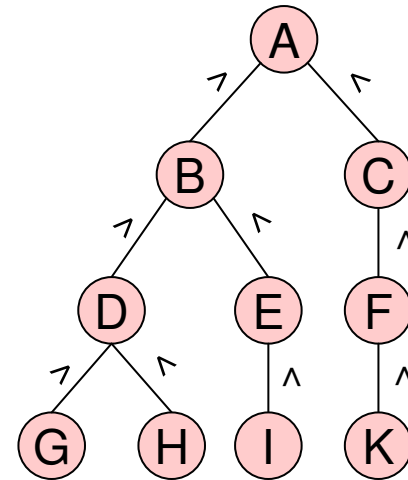
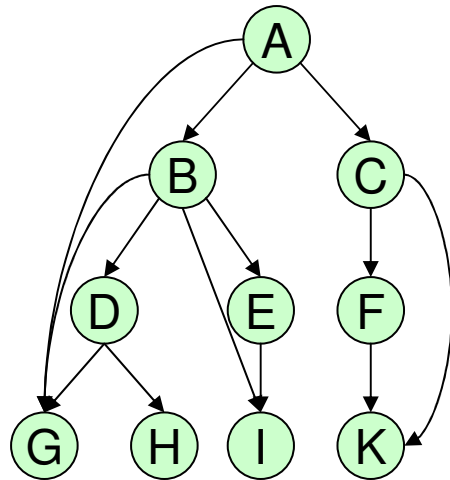
$R_3(BCD)$

B	C	D
0	0	1
0	1	0
1	1	0

$$P_M(\bar{x}) = \begin{cases} P_B(\bar{x} | \bar{x} \in \rho) = \frac{P_B(\bar{x})}{P_B(\bar{x} \in \rho)}, & \text{if } \bar{x} \in \rho \\ 0, & \text{otherwise} \end{cases}$$

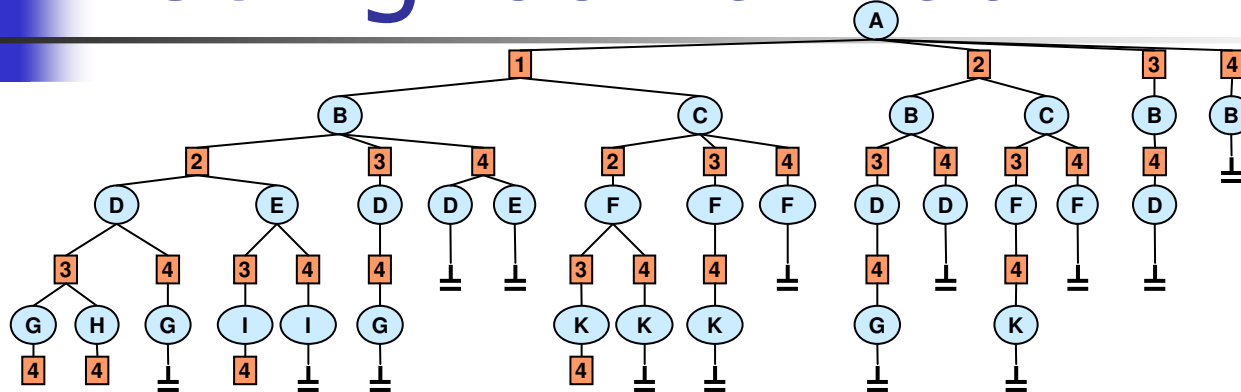
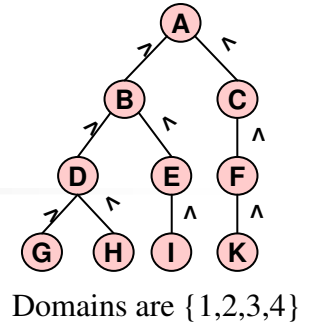


# Using look-ahead – example (1)

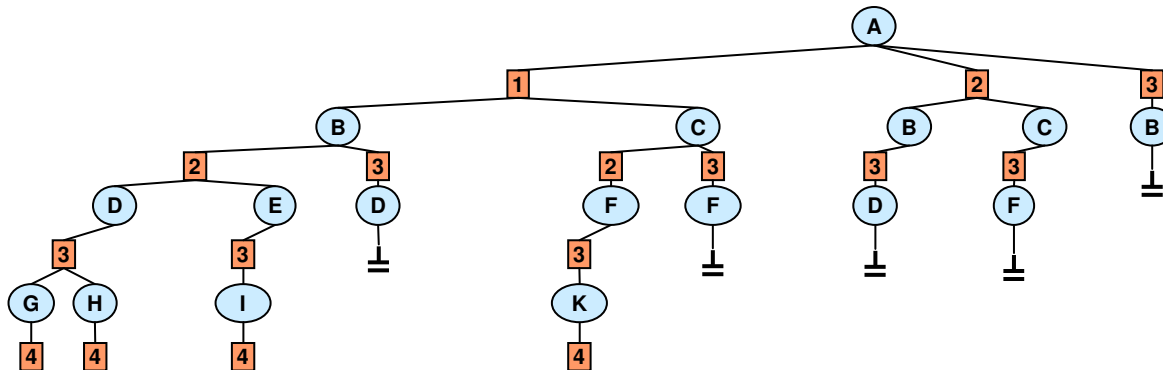


All domains are  $\{1,2,3,4\}$

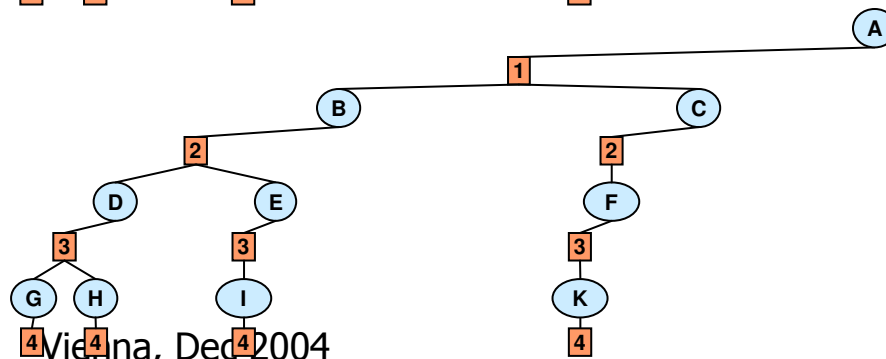
# Using look-ahead



**CONSTRAINTS ONLY**



**FORWARD CHECKING**



**MAINTAINING ARC  
CONSISTENCY**



# Experiments – mixed networks

- **Parameters of the mixed networks:**
  - N = number of variables
  - K = number of values per variable
- **Belief network - (N,K,R,P):**
  - R = number of root nodes
  - P = number of parents
- **Measures:**
  - Time
  - Number of nodes
  - Number of dead-ends
- **Constraint network - (N,K,C,S,t):**
  - C = number of constraints
  - S = scope size of the constraints
  - t = tightness (no. of disallowed tuples)
- **Algorithms:**
  - AO-C            constraint checking only
  - AO-FC          forward checking
  - AO-RFC        relational forward checking

## OR vs. AND/OR Spaces

N=25, K=2, R=2, P=2, C=10, S=3, t=30%, 20 instances, w\*=9, depth=14

	Time	Nodes	Dead-ends	Full space
AO-C	0.15	44,895	9,095	152,858
AO-FC	11.81	3,147,577	266,215	67,108,862

#CSP N40, K3, C50, P3, 20 inst,  $w^*=13$ , depth=20  
Time (seconds)

	tightness	70%	60%	50%	40%
	# solutions	0	0	46,582	147,898,575
i	BE	8.889	8.709	8.531	<b>8.637</b>
0	A/O FC	<b>0.110</b>	<b>0.454</b>	<b>3.129</b>	32.931
	OR FC	0.113	0.511	14.615	9737.823
3	A/O FC	0.111	<b>0.453</b>	<b>3.103</b>	31.277
	OR FC	0.112	0.509	14.474	9027.365
6	A/O FC	0.110	0.454	<b>3.006</b>	25.140
	OR FC	0.113	0.508	13.842	7293.472
9	A/O FC	0.114	0.453	<b>2.895</b>	21.558
	OR FC	0.111	0.509	12.336	5809.917
12	A/O FC	0.109	0.458	<b>2.703</b>	13.687
	OR FC	0.114	0.496	9.678	2598.778
13	A/O FC	0.111	0.457	<b>2.605</b>	<b>11.974</b>
	OR FC	0.123	0.494	8.703	1170.203

- AND/OR search is orders of magnitudes faster than OR search when problems are loose (consistent)
- AND/OR with full caching equivalent to BE: time and space  $O(\exp w^*)$

# Mixed networks results (1)

N=40, K=2, R=2, P=2, C=10, S=4, 20 instances, w\*=12, depth=19

tightness	i	Time			Nodes			Dead-ends			#sol
		AO-C	AO-FC	AO-RFC	AO-C	AO-FC	AO-RFC	AO-C	AO-FC	AO-RFC	
80%	0	0.671	0.056	0.022	153,073	4,388	1,066	95,197	3,299	962	1.6E+05
	6	0.479	0.055	0.022	75,397	3,213	936	57,306	3,168	940	
	12	0.103	0.044	0.016	16,579	2,273	683	2,638	1,537	398	
60%	0	2.877	0.791	1.094	774,697	167,921	158,007	239,991	40,069	36,119	7.7E+07
	6	1.409	0.445	0.544	183,286	35,325	31,607	107,362	27,575	24,153	
	12	0.189	0.142	0.149	27,848	9,148	7,357	3,343	3,997	3,048	
40%	0	6.827	4.717	7.427	1,974,952	1,158,544	1,148,044	362,279	162,781	158,968	6.2E+09
	6	2.809	2.219	3.149	346,842	183,895	180,463	150,864	88,822	85,522	
	12	0.255	0.331	0.425	36,262	23,160	22,293	2,825	5,083	4,658	
20%	0	14.181	14.199	21.791	4,282,678	3,703,920	3,702,692	370,314	278,479	277,250	1.1E+11
	6	5.305	6.286	9.061	626,405	519,258	518,029	127,683	98,100	96,872	
	12	0.318	0.543	0.714	44,340	39,550	39,524	1,431	2,647	2,659	
0%	0	23.595	27.129	41.744	7,450,537	7,450,537	7,450,537	0	0	0	1.1E+12
	6	8.325	11.528	16.636	956,965	956,965	956,965	0	0	0	
	12	0.366	0.681	0.884	50,616	50,616	50,616	0	0	0	

- Caching helps more on loose problems
- Constraint propagation helps more on tight problems



# Conclusion

---

- **AND/OR search spaces are a unifying framework for search or compilation applicable to any graphical models.**
- **With caching AND/OR is similar to inference (minimal graphs)**
- **AND/OR time and space bounds are equal to state of the art algorithms**
- **Empirical results**
  - **AND/OR search spaces are always more effective than traditional OR spaces**
  - **AND/OR allows a flexible tradeoff between space and time**
- **Graphical models should always use AND/OR search with embedded inference.**
- **Current work: Hybrid of inference and search: Heuristic generation and Branch and Bound**