# ICS 52: Introduction to Software Engineering

Fall Quarter 2002
Professor Richard N. Taylor
Lecture Notes

Week 2: Principles and Requirements Engineering

http://www.ics.uci.edu/~taylor/ICS\_52\_FQ02/syllabus.html

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## Recurring, Fundamental Principles

- Rigor and formality
- Separation of concerns
  - Modularity
  - Abstraction
- Anticipation of change
- ◆ Generality
- ◆ Incrementality

# Rigor and Formality

- Creativity often leads to imprecision and inaccuracy
  - Software development is a creative process
  - Software development can tolerate neither imprecision nor inaccuracy
- ◆ Rigor helps to…
  - ...produce more reliable products
  - ...control cost
  - ...increase confidentiality in products
- Formality is "rigor -- mathematically sound"
  - Often used for mission critical systems

## Separation of Concerns

- ◆ Trying to do too many things at the same time often leads to mistakes
  - Software development is comprised of many parallel tasks, goals, and responsibilities
  - Software development cannot tolerate mistakes
- Separation of concerns helps to...
  - ...divide a problem into parts that can be dealt with separately
  - ...create an understanding of how the parts depend on/relate to each other

## **Example Dimensions of Separation**

## ◆ Time

- Requirements, design, implementation, testing, ...
- Dial, receive confirmation, connect, talk, ...

## Qualities

- Efficiency and user friendliness
- Correctness and portability

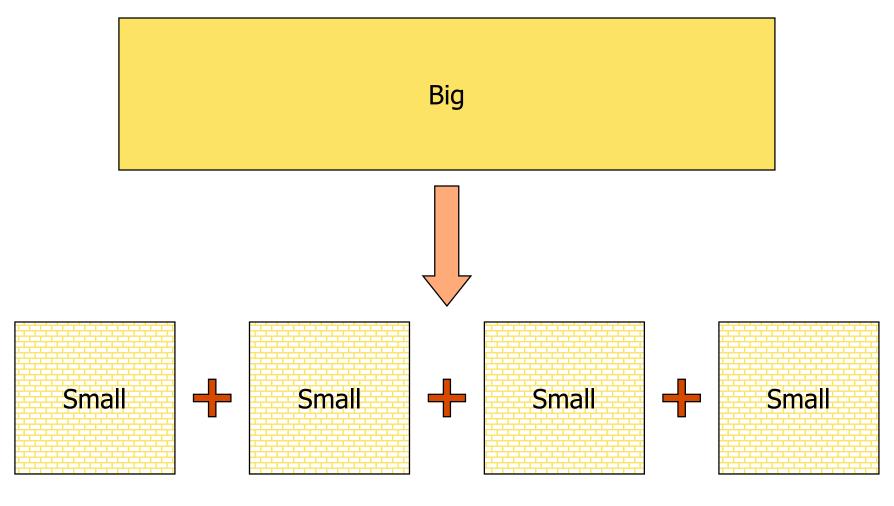
## Views

- Data flow and control flow
- Management and development

## Modularity

- Separation into individual, physical parts
  - Decomposability
    - » Divide and conquer
  - Composability
    - » Component assembly
    - » Reuse
  - Understanding
    - » Localization
- Special case of separation of concerns
  - Divide and conquer "horizontally"
  - "Brick"-effect

# Modularity

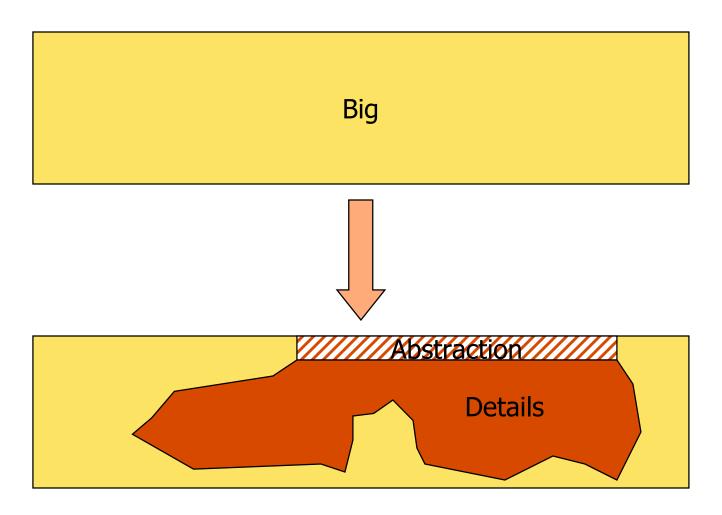


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## **Abstraction**

- ◆ Separation into individual, logical parts
  - Relevant versus irrelevant details
    - » Use relevant details to solve task at hand
    - » Ignore irrelevant details
- ◆ Special case of separation of concerns
  - Divide and conquer "vertically"
  - "Iceberg"-effect

## **Abstraction**



# **Anticipation of Change**

- Not anticipating change often leads to high cost and unmanageable software
  - Software development deals with inherently changing requirements
  - Software development can tolerate neither high cost nor unmanageable software
- ◆ Anticipation of change helps to...
  - ...create a software infrastructure that absorbs changes easily
  - ...enhance reusability of components
  - ...control cost in the long run

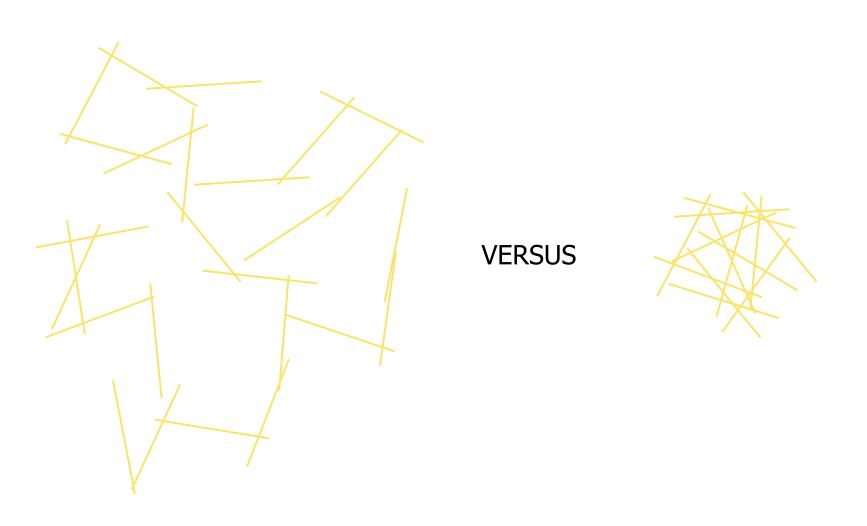
## Generality

- Not generalizing often leads to continuous redevelopment of similar solutions
  - Software development involves building many similar kinds of software (components)
  - Software development cannot tolerate building the same thing over and over again
- ◆ Generality leads to...
  - ...increased reusability
  - ...increased reliability
  - ...faster development
  - ...reduced cost

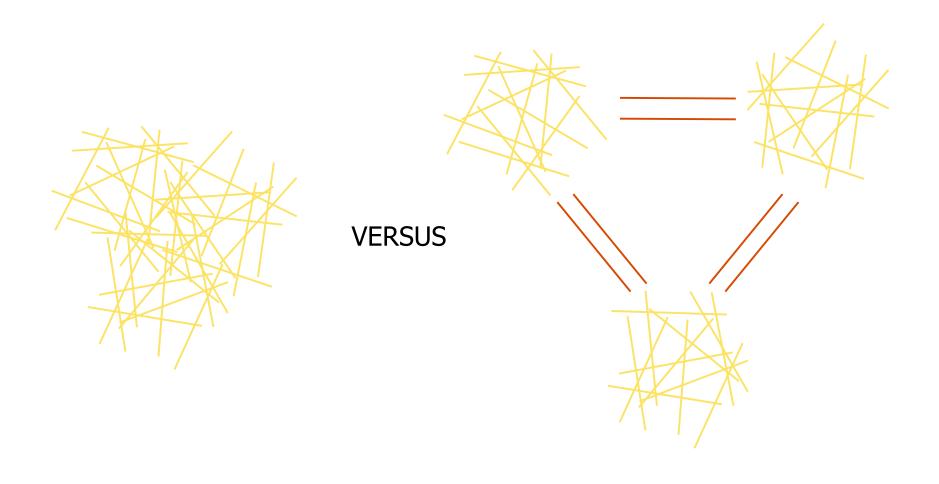
## Incrementality

- ◆ Delivering a large product as a whole, and in one shot, often leads to dissatisfaction and a product that is "not quite right"
  - Software development typically delivers one final product
  - Software development cannot tolerate a product that is not quite right or dissatisfies the customer
- ◆ Incrementality leads to...
  - ...the development of better products
  - ...early identification of problems
  - ...an increase in customer satisfaction
    - » Active involvement of customer

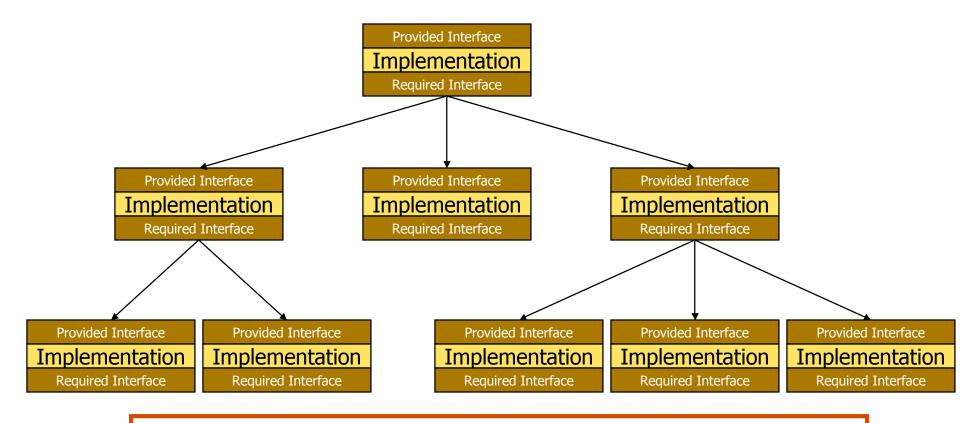
# Cohesion



# Coupling

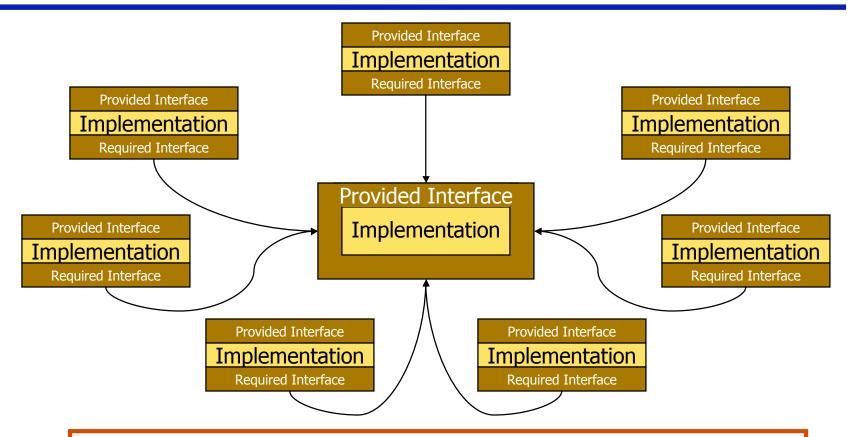


# A Good Separation of Concerns, 1



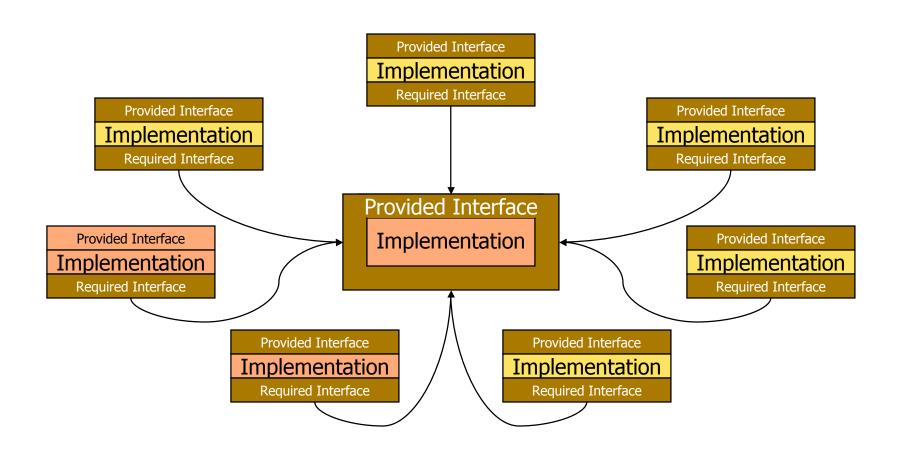
Abstraction through the use of provided/required interfaces
Modularity through the use of components
Low coupling through the use of hierarchies
High cohesion through the use of coherent implementations
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## A Good Separation of Concerns, 2



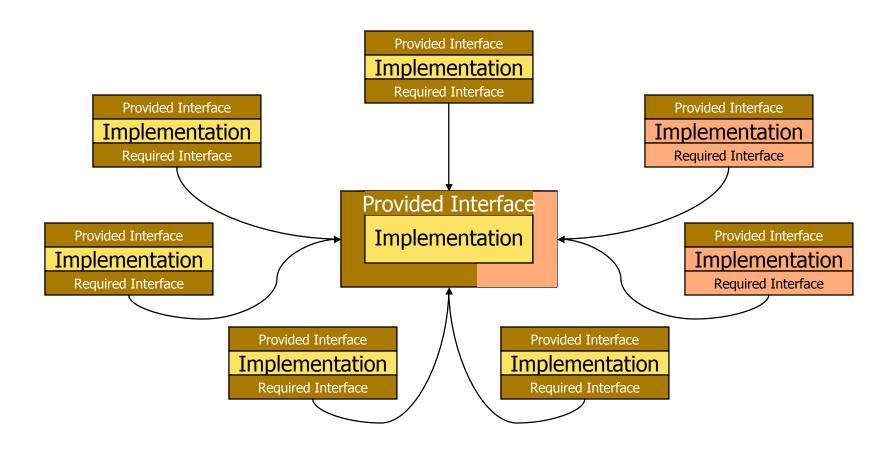
Abstraction through the use of provided/required interfaces
Modularity through the use of components
Low coupling through the use of a central "blackboard"
High cohesion through the use of coherent implementations
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# Benefit 1: Anticipating Change



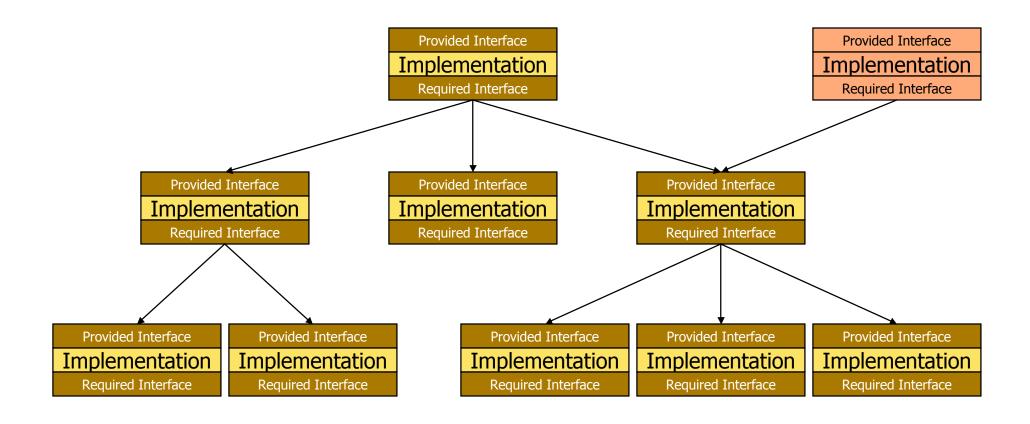
Separating concerns anticipates change Iniversity of California, Irvine

# Benefit 1: Anticipating Change

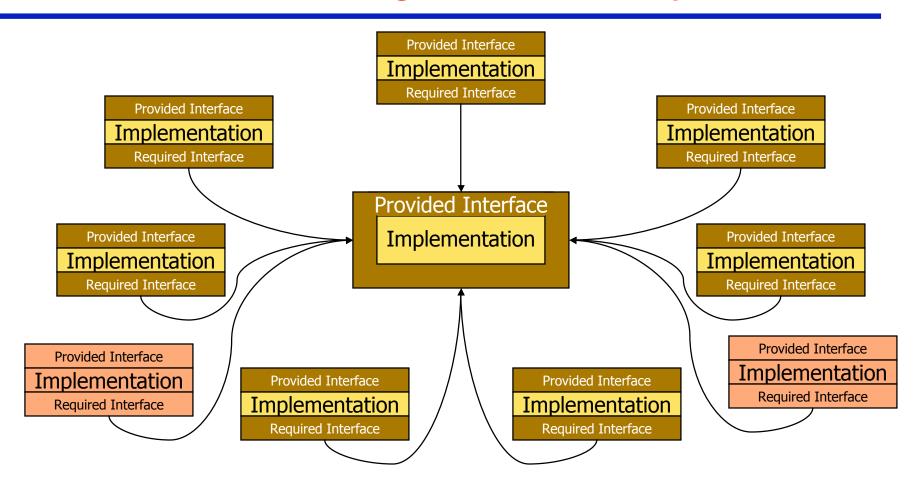


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# Benefit 2: Promoting Generality



# Benefit 3: Facilitating Incrementality

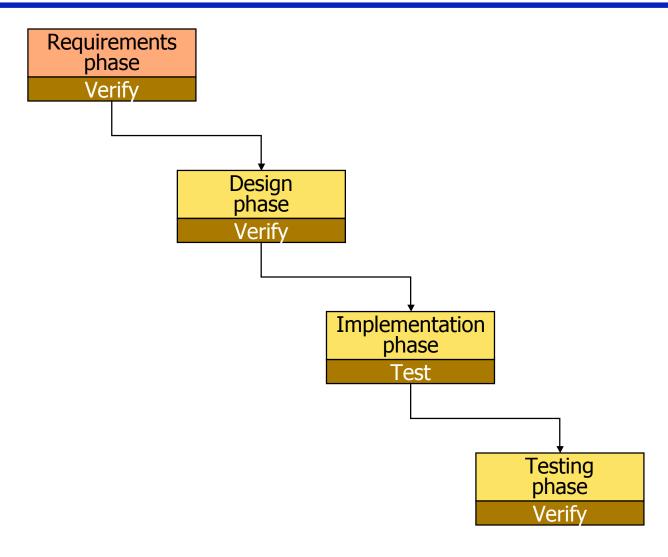


Separating concerns facilitates incrementality
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## Recurring, Fundamental Principles

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- ◆ Generality
- ◆ Incrementality

# ICS 52 Life Cycle



## Requirements Phase

- ◆Terminology
  - -Requirements analysis/engineering
    - » Activity of unearthing a customer's needs
  - -Requirements specification
    - » Document describing a customer's needs

## Requirements Analysis

- System engineering versus software engineering
  - What role does software play within the full solution?
  - Trend: software is everywhere
- Contract model versus participatory design
  - Contract: carefully specify requirements, then contract out the development
  - Participatory: customers, users, and software development staff work together throughout the life cycle

## Techniques for Requirements Analysis

- Interview customer
- Create use cases/scenarios
- Prototype solutions
- ◆ Observe customer
- Identify important objects/roles/functions
- Perform research
- Construct glossaries
- Question yourself

Use the principles

## Requirements Specification

- Serves as the fundamental reference point between customer and software producer
- Defines capabilities to be provided without saying how they should be provided
  - Defines the "what"
  - Does not define the "how"
- Defines environmental requirements on the software to guide the implementers
  - Platforms
  - Implementation language(s)
- Defines software qualities

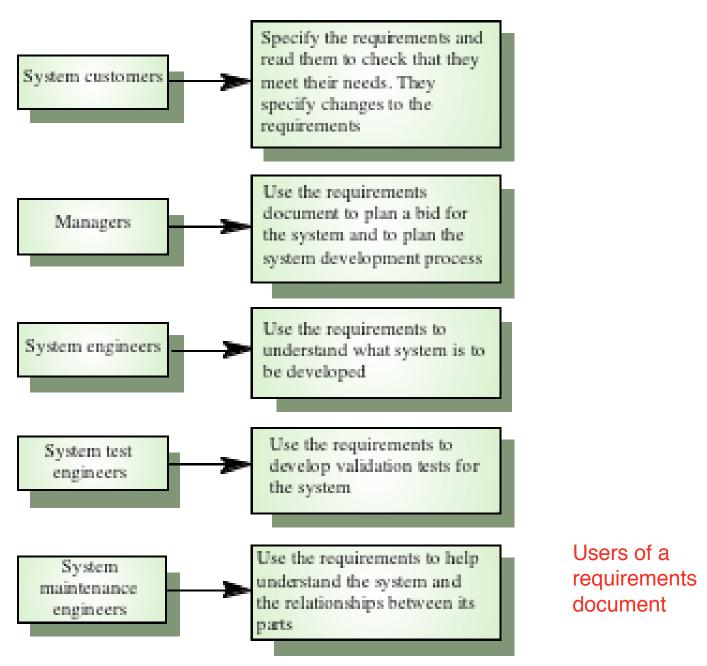
## Requirements Specification (the Document)

## Purpose

- Serve as the fundamental reference point between builder and buyer/"consumer " (contract)
- Define capabilities to be provided, without saying how they should be provided
- Define constraints on the software
  - » e.g. performance, platforms, language

### Characteristics

- Unambiguous
  - » Requires precise, well-defined notations
- Complete: any system that satisfies it is acceptable
- Consistent
  - » There should be no conflicts or contradictions in the descriptions of the system facilities
- Verifiable (testable)
- No implementation bias (external properties only)
  - » "One model, many realizations"



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Software Engineering, 6th edition. Chapter 5

# Lifecycle Considerations

- ◆ Serve as basis for future contracts
- ◆ Reduce future modification costs
  - Identify items likely to change
  - Identify fundamental assumptions
- Structure document to make future changes easy
  - e.g. have a single location where all concepts are defined

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# Requirements Volatility

	Customer Doesn't Care	Customer Cares	
		Measurable	Unmeasurable
Observable to Users	Requirement likely to change	Requirement	Goal
Not Observable to Users	Implementation detail	Constraint	

Figure 4–1: Matrix of Requirements Terminology

# Structure of a Requirements Specification

- Introduction
- Executive summary
- Application context
- Functional requirements
- Environmental requirements
- Software qualities
- Other requirements
- Time schedule
- Potential risks
- Future changes
- ♦ Glossary
- Reference documents

# Content of a Requirements Specification

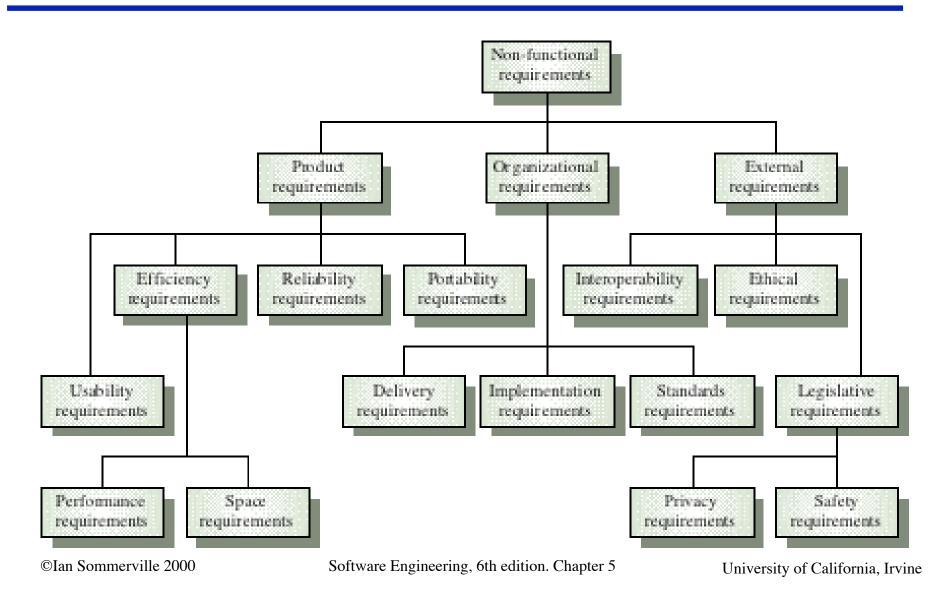
## Application context

- Describe the situations in which the software will be used. How will the situation change as a result of introducing the software system?
- Identify all things (objects, processes, other software, hardware, people) that the system may, or will, affect.
- Develop an abstraction for each of those things, characterizing their properties/behavior which are relevant to the software system. ("World **Object-oriented Analysis** model.")
- How might this context change?
- Functional requirements ("features")
  - Identify all concepts (objects) that the system provides to the users.
  - Develop an abstraction for each of those concepts, characterizing their properties and functions which are relevant to the user.
    - » What is the system supposed to do?
    - » What is supposed to happen when something goes wrong?

# Contents of a Requirements Specification, cont...

- ◆ Performance requirements: speed, space
- ◆ Environmental requirements: platform, language, ...
- ◆ Subsets/supersets
- Expected changes and fundamental assumptions
- ◆ Definitions; reference documents

# Non-functional requirement types



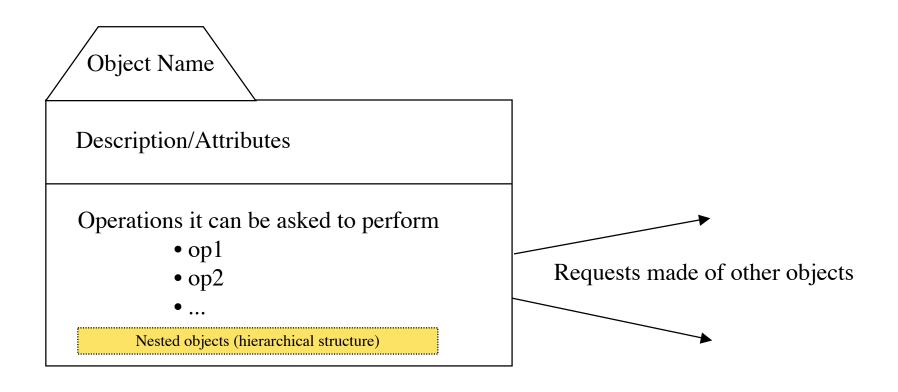
# World Model (OOA) versus Simple Input/Output Characterizations as Reqt.s Specs

- ◆ The application context may change because of extrinsic factors
- ◆ The software system modifies the usage context
- ♦ I/O is only meaningful in a specific context
- "Input" and "output" may not be simple concepts
  - Cruise control systems: many sensors, complex conditions, and timing constraints only understandable in the application context

# Techniques for Requirements Analysis

- Conduct interviews
- Build and evaluate prototypes
- ◆ Construct glossaries
- ◆ Separate concerns
- ◆ Focus on structure
  - Abstraction and hierarchical decomposition
- ◆ Use precise notation (be careful with diagrams!)
- ◆ Ask yourself:
  - Is it testable? Complete? Consistent?

# Canonical Diagram for Requirements Objects



Note: this will not be the appropriate notation for all application contexts!

## **Mailing List Manager**

## Mailing Address

A place where mail can be delivered. Name, Title, Street, City, State, ZipCode.

## Operations:

- (1) change any of the specified attributes to have a particular value.
- (2) read any or all of the attributes
- (3) create/delete address

Note: are the values to the "puts" or received from the "gets" strings? Only strings?

## Mailing List

A list of Mailing\_Address objects.

Name (of list)

### Operations:

- (1) Add Mailing\_Address to list
- (2) Delete Mailing\_Address from list
- (3) Sort list
- (4) "Print" list

Note: What about querying the list to see if a particular address --- or part of one -- is already a member?

Note: requests between objects not shown. Neither the application context nor the customer imposes any constraints on how these objects may interact.

## Storage

An indexed set of places where chunks of ASCII data can be stored. Number of indices, size of data currently stored in each index

### Operations:

- (1) Fetch data at index
- (2) Store data at index

## Mailing List Set Ops

Supports manipulation of multiple mailing lists.

### Operations:

- (1) Union of two lists
- (2) Intersection of two lists
- (3) Subtraction of one list from another

## User Interface

What the human user interacts with in order to manipulate or obtain any info.

Attributes: media and modes

## Operations:

- (1) Login (authenticate user)
- (2) Parse and execute command

## Mailing List Manager, Take 2

Is this better, or worse?

## User Interface

What the human user interacts with in order to manipulate or obtain any info. Attributes: media and modes

## Operations:

- (1) Login (authenticate user)
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#### Operations:

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- (3) Sort list
- (4) "Print" list
- (5) Combine (union) two lists
- (6) Intersection of two lists --> list
- (7) List2 = List1 List0
- (8) Store list
- (9) Retrieve list

## Mailing Address

A place where mail can be delivered. Name, Title, Street, City, State, ZipCode.

## Operations:

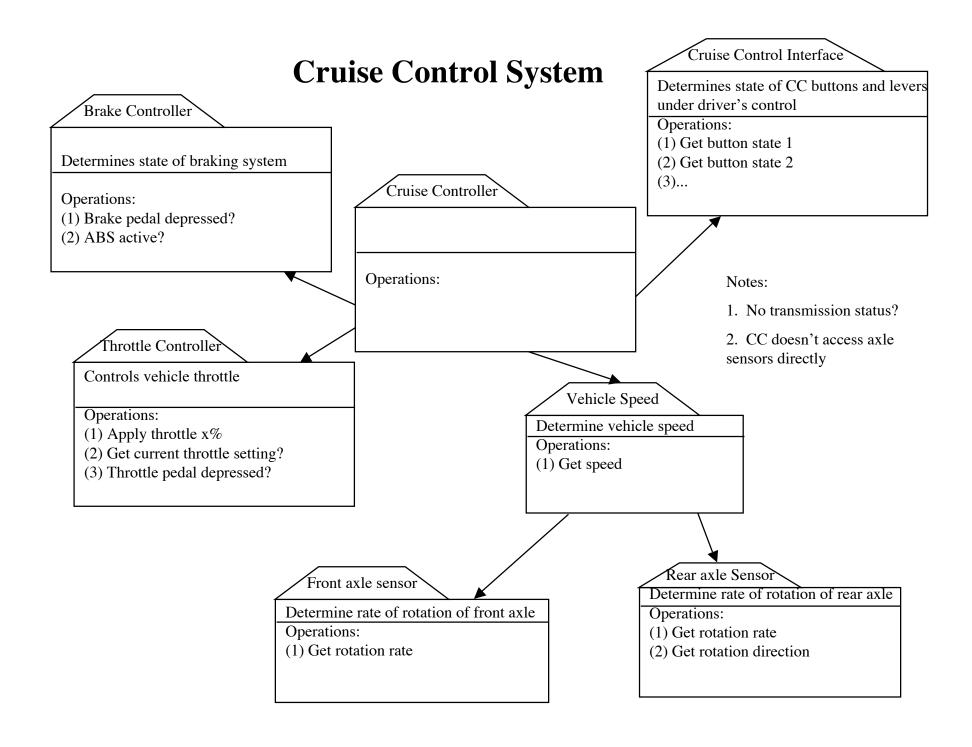
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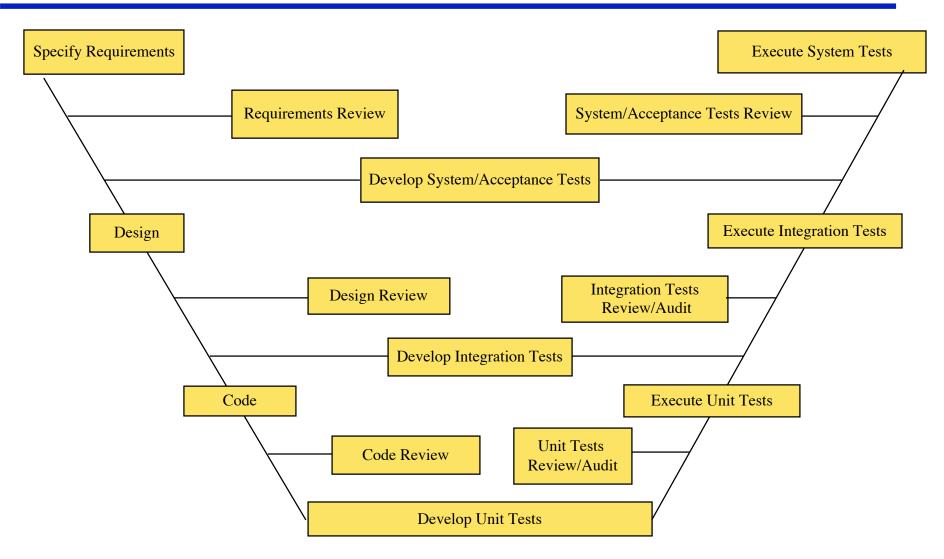
# Different Circumstances, Different Techniques

- ◆Finite state machines
  - -telephony examples
  - -http://www.uclan.ac.uk/facs/destech/compute/s taff/casey/integ/mscfsm.htm
- ◆Numerical systems
  - -e.g. matrix inversion package

## Acceptance Test Plan

- An operational way of determining consistency between the requirements specification and the delivered system
- ◆ If the system passes the tests demanded by this plan, then the buyer has no (legal) basis for complaint
- ◆ Develop a plan for conducting test to examine
  - Functional properties
  - Performance properties
  - Adherence to constraints
  - Subsets
- ◆ Representative technique: Property/test matrix: for each test case, what properties/behaviors will be demonstrated?

# V-Model of Development and Testing Activities



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## Incremental Development of Tests

- Acceptance test plan (and tests): develop during requirements analysis
- Integration test plan (and test): develop during system architecture and detailed design specification
- ◆Unit test plan (and tests): develop during implementation

## ICS 52 Requirements Analysis Exercise

- Develop a requirements specification and acceptance test plan for the class project
- ◆TAs are the customer